

SAT Solver

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Adapted From:

教材《数理逻辑与集合论》1.4, 2.6

Lecture Notes

Propositional Logic (命题逻辑)

1.1 Propositional Logic

Step 0. Proof.

Step 1. Convert program into mathematical formula.

Step 2. Ask the computer to solve the formula.

1.2 First Order Logic

Step 1. Convert it into first order logic formula.

Step 2. Ask the computer to solve the formula.

1.3 Auto-active Proof

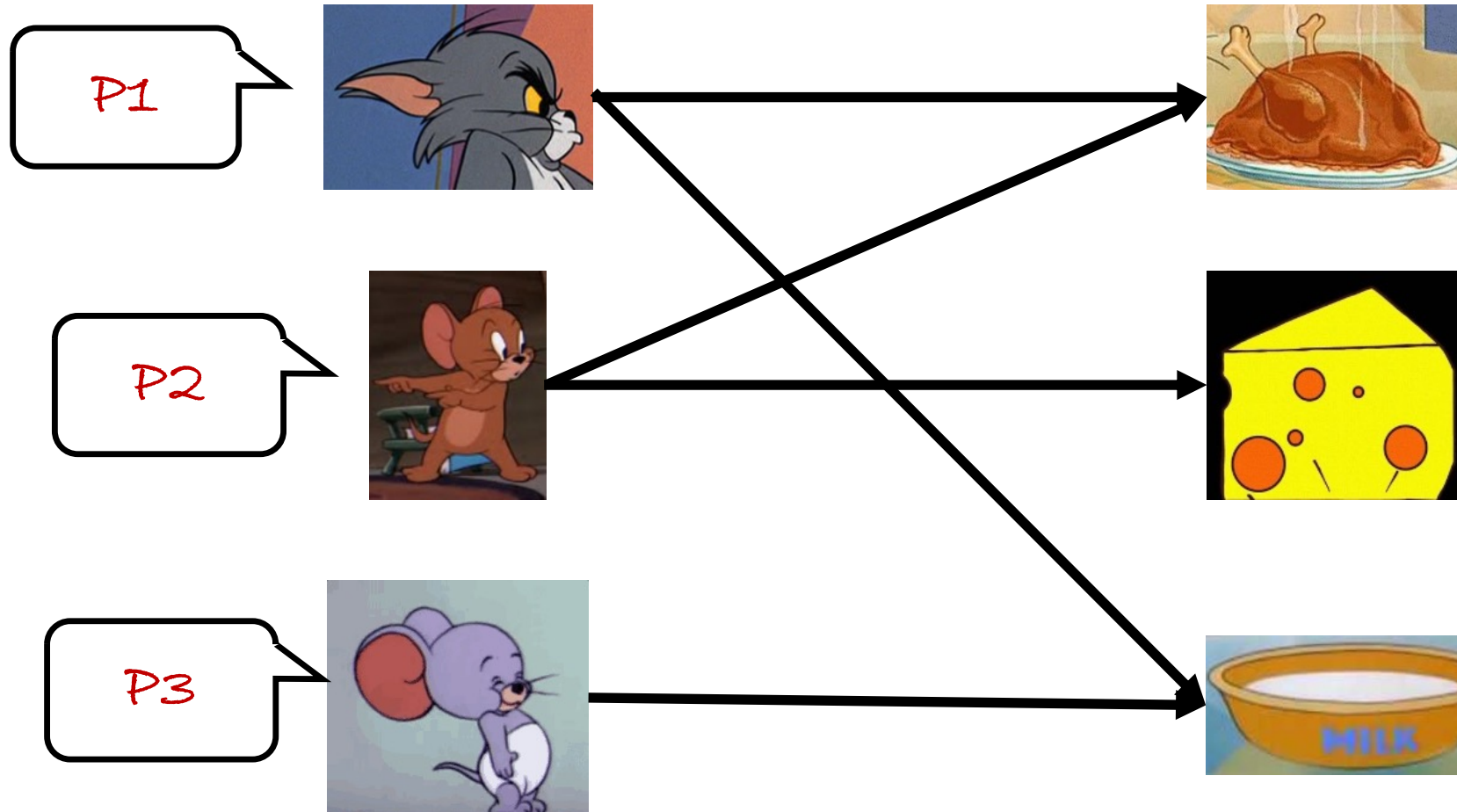
Step 1. Axiom system

Step 2. Ask the computer to check the invariants

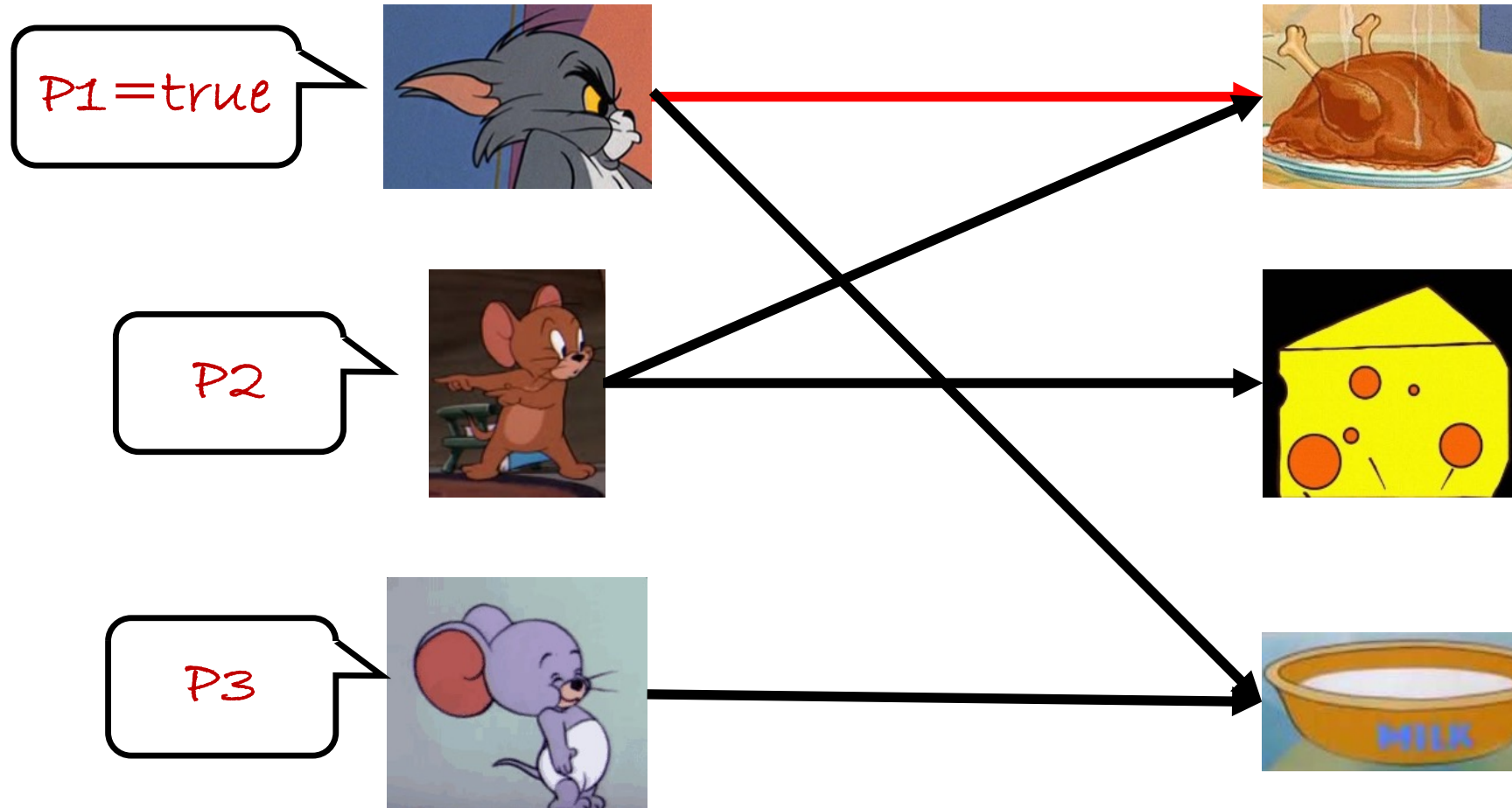
Outline – This Lecture

- SAT Problem
- Normal Form
- DPLL
- Program Analysis

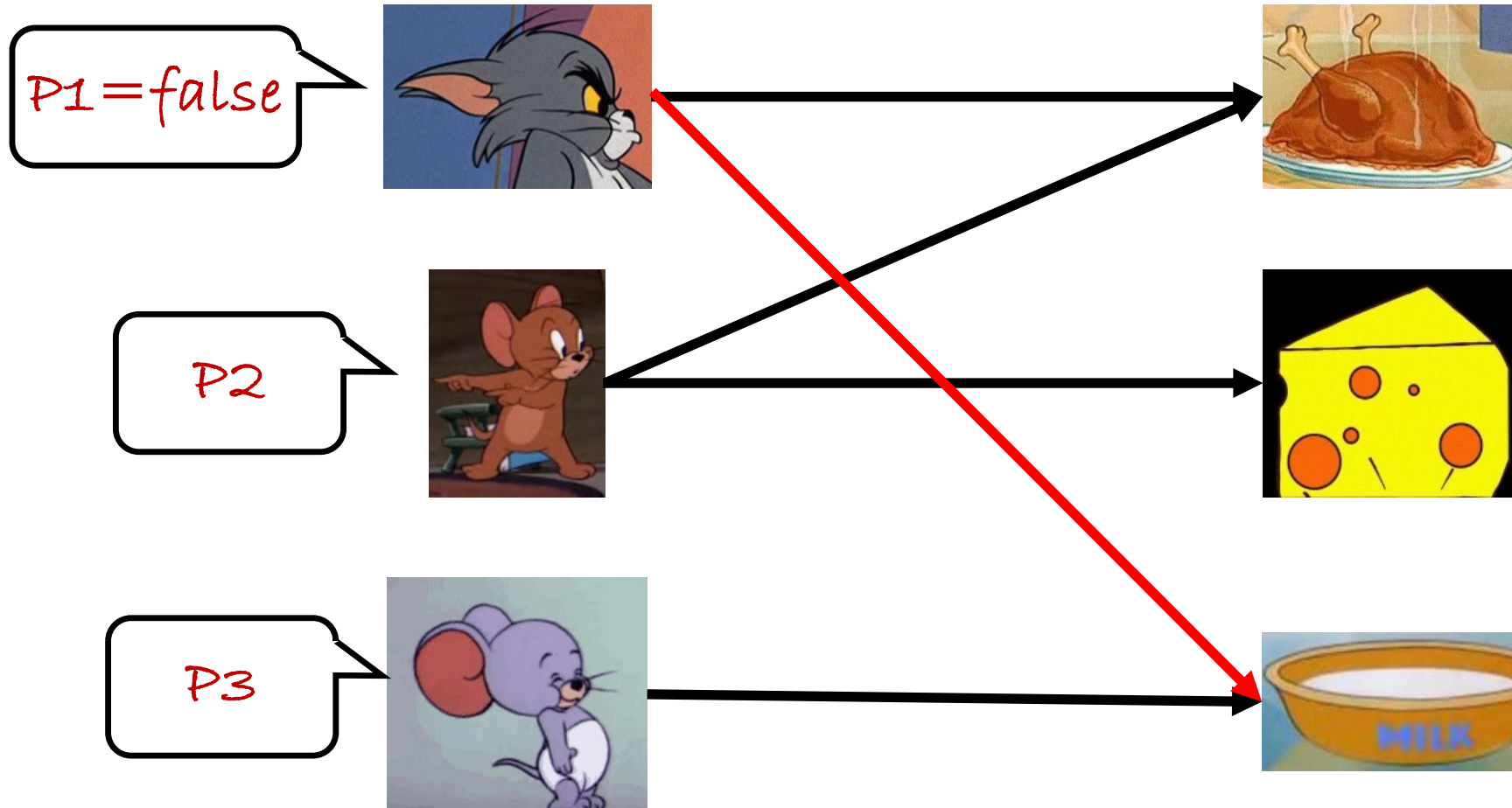
Matching Problem II



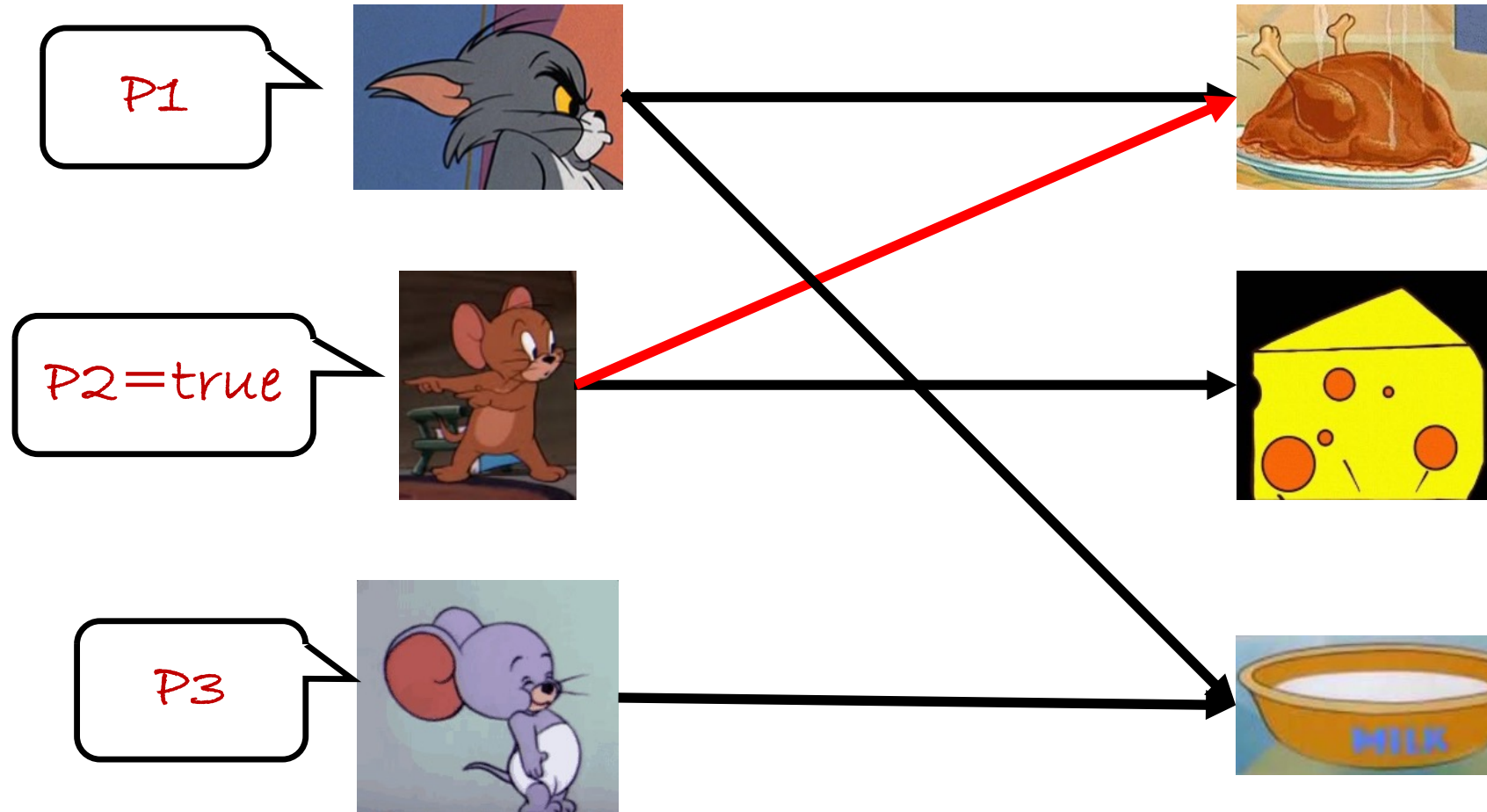
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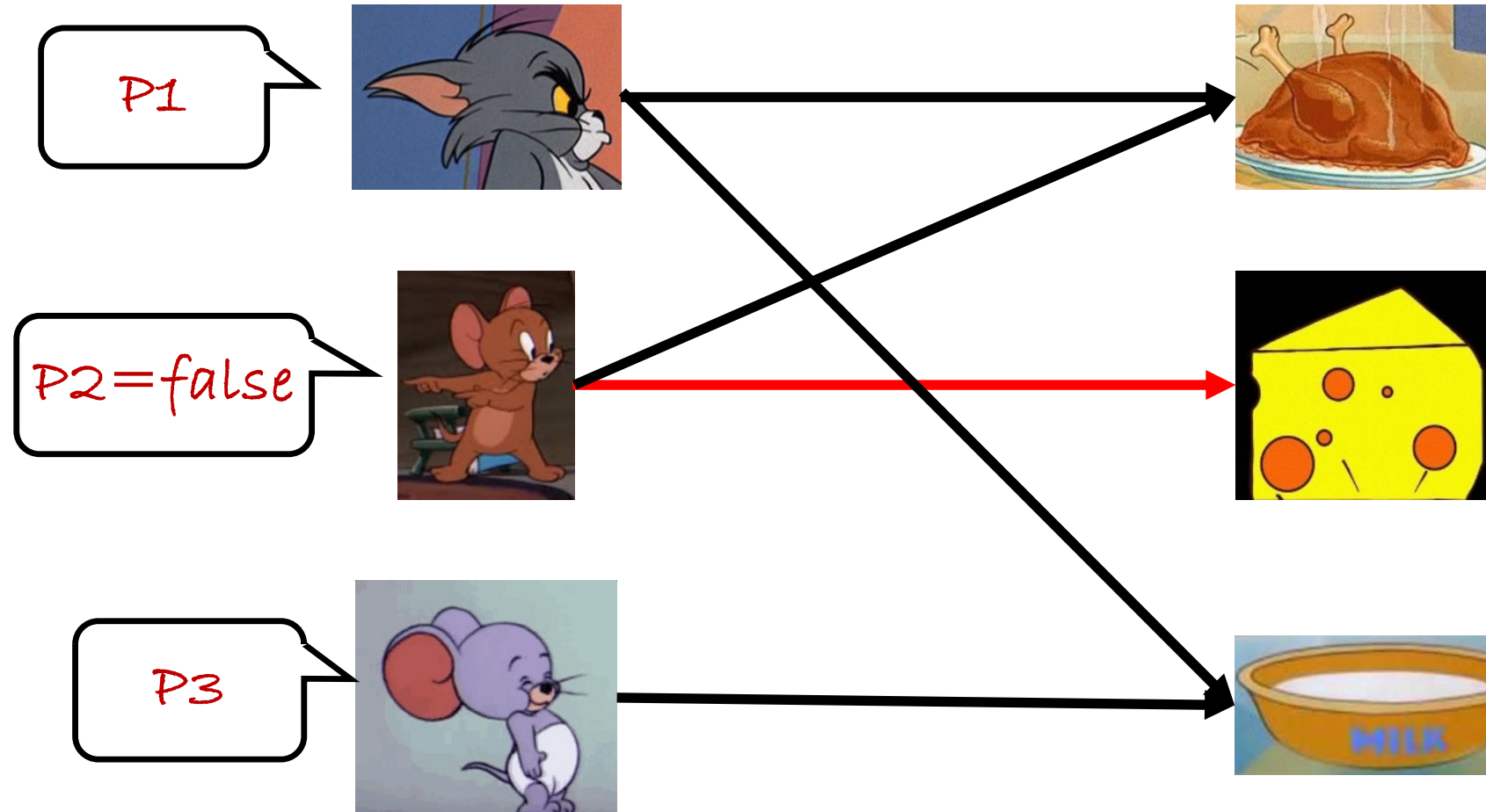
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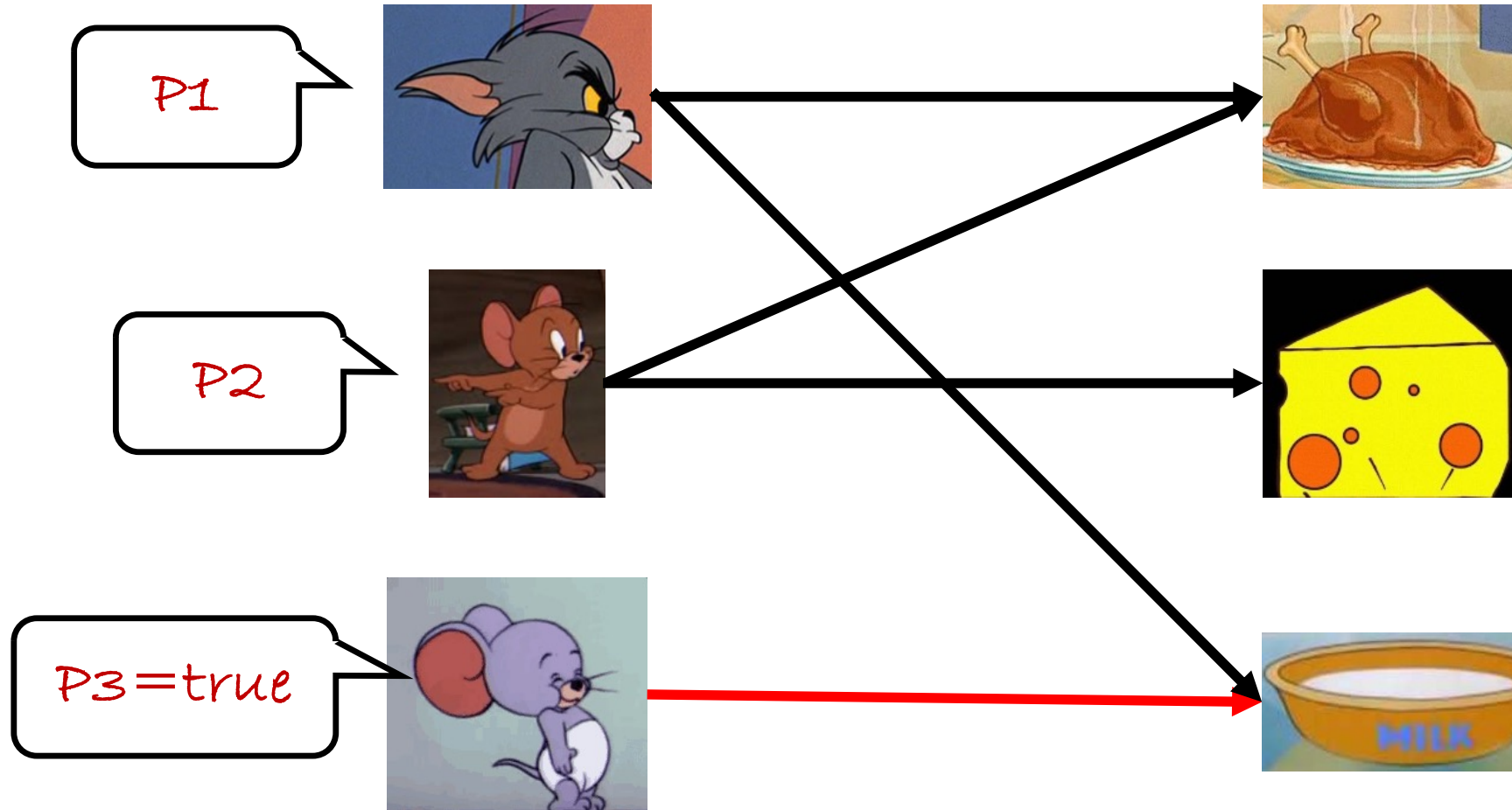
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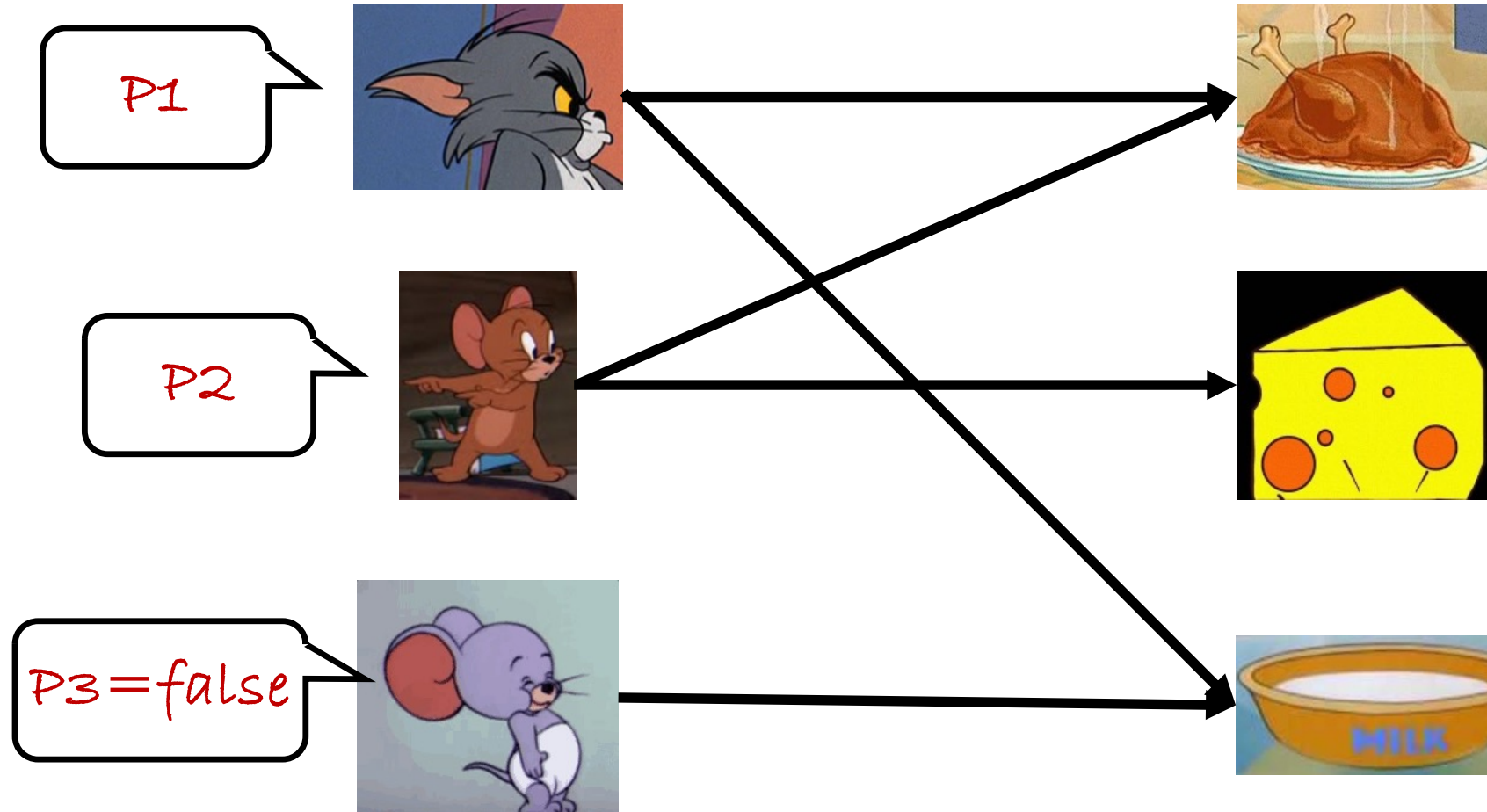
Matching Problem II



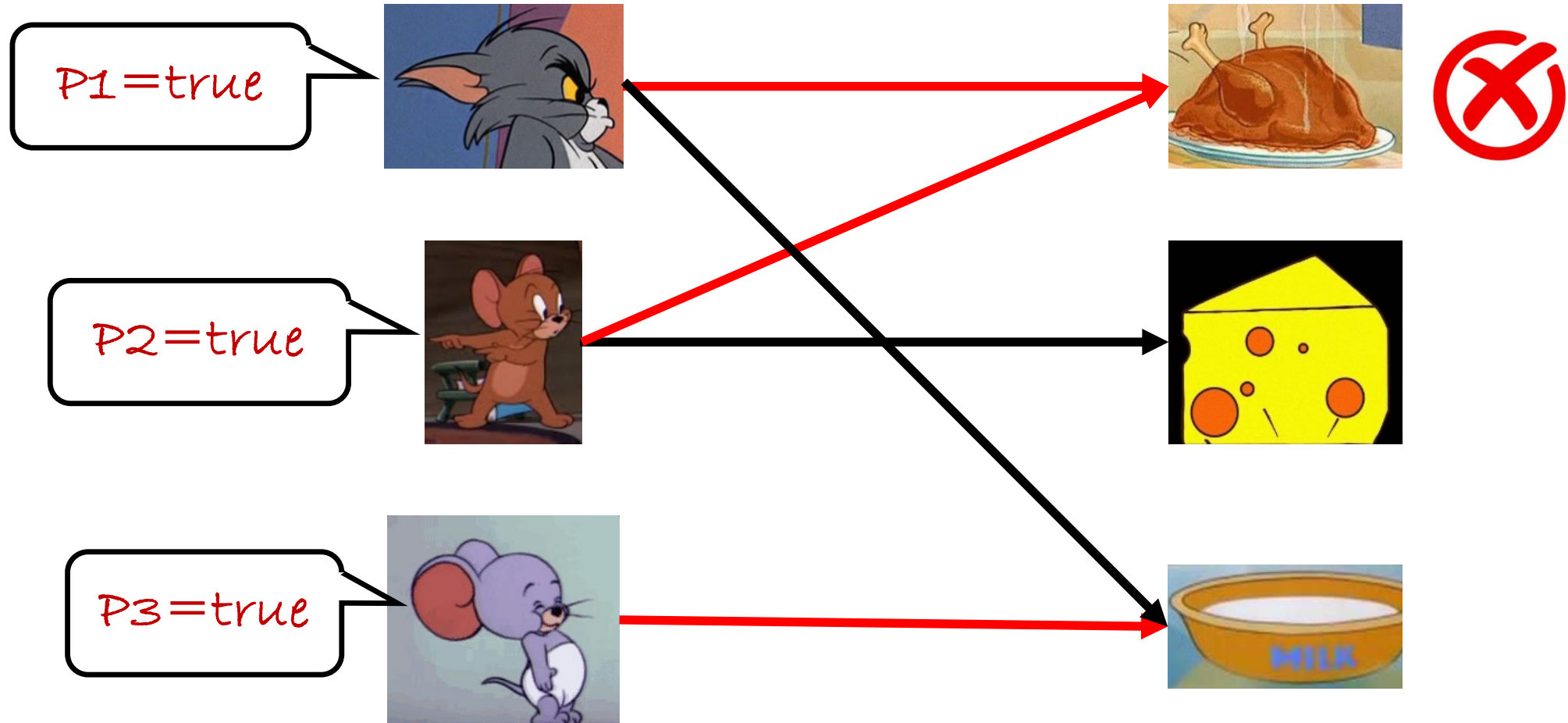
Matching Problem II



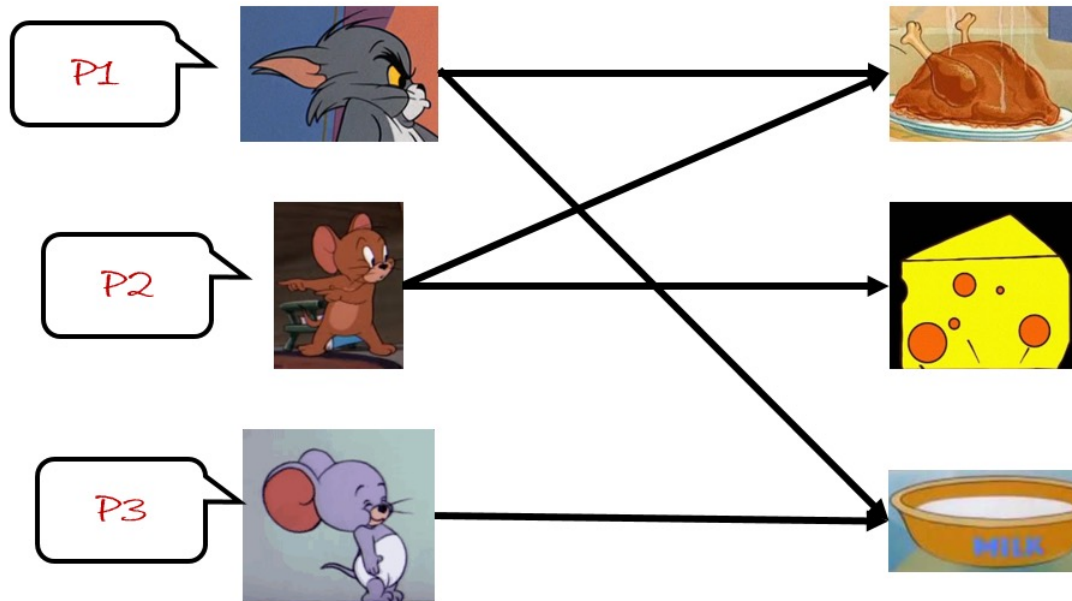
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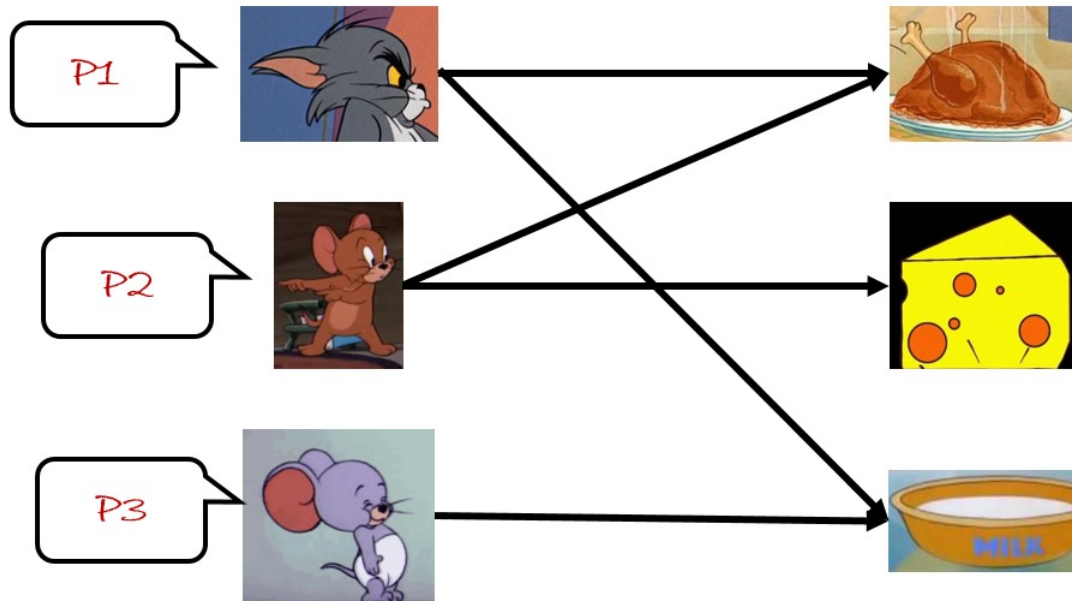


Matching Problem II



$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$

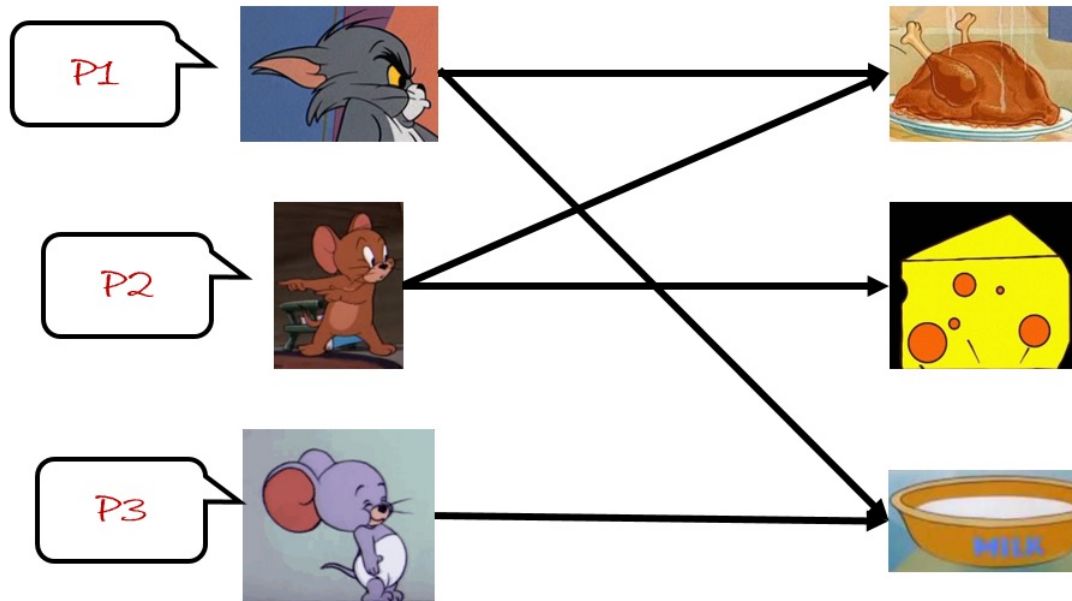
Matching Problem II



$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$

Tuffy must drink milk.

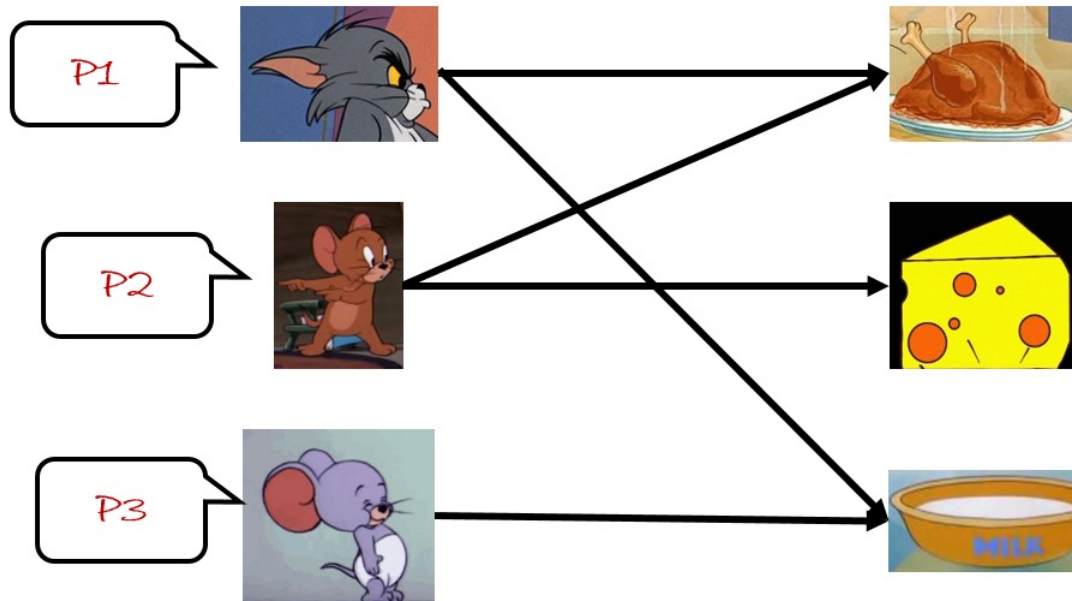
Matching Problem II



$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$

Tom and Jerry cannot
eat chicken at the same
time.

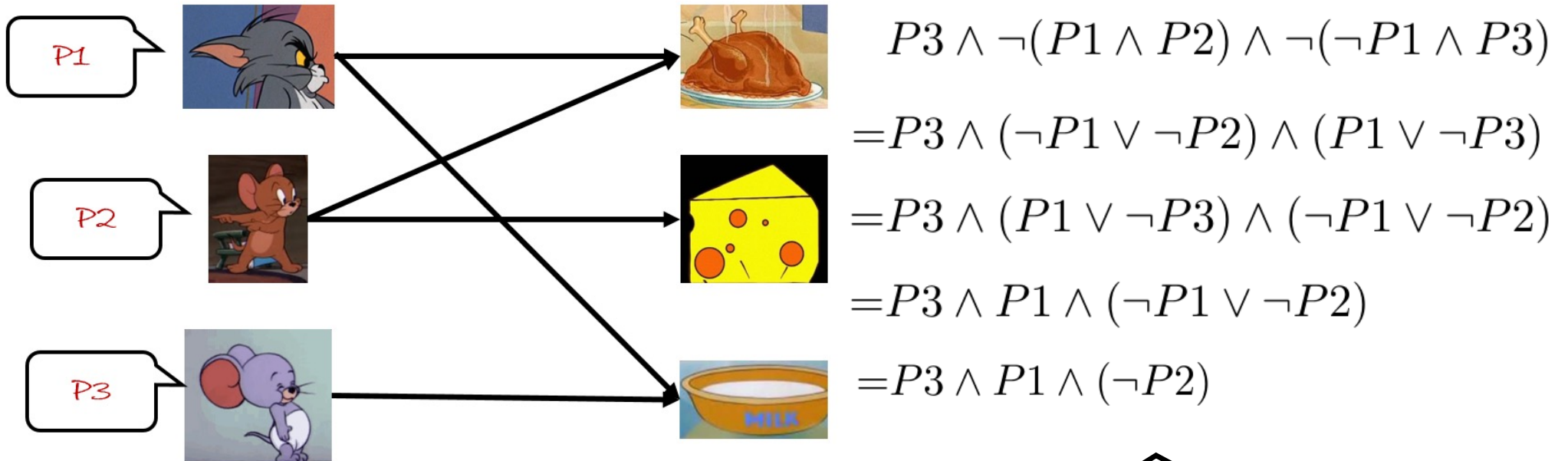
Matching Problem II



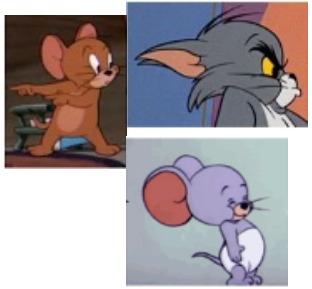
$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$

Tom and Tuffy cannot
drink milk at the same
time.

Matching Problem II



It is clear that $P1=T$,
 $P2=F$ and $P3=T$.



SAT Problem.

Instead of proving tautology or contradiction, sometimes we just want to find an interpretation to make a formula true.



Satisfiability (可满足性)

DEFINITION

If a proposition can be true under some interpretation, it is satisfiable.

RELATION

- 1) P is a tautology iff $\neg P$ is a contradiction
- 2) P is satisfiable iff $\neg P$ is not a tautology
- 3) P is unsatisfiable iff P is a contradiction

Satisfiability (可满足性)

RELATION

- 1) P is a tautology iff $\neg P$ is a contradiction
- 2) P is unsatisfiable iff P is a contradiction

So proving P is a tautology is to prove that $\neg P$ is unsatisfiable.



Example

$$(A \vee B) \wedge (\neg A \vee \neg B)$$

satisfiable, not tautology

$$(A \vee B) \wedge (\neg A \vee \neg B) \wedge (A \leftrightarrow B)$$

unsatisfiable/contradiction

$$(P \wedge \neg P) \rightarrow (P \wedge \neg P)$$

tautology

$$A \wedge (A \rightarrow B) \rightarrow B$$

tautology

Example

$$(A \vee B) \wedge (\neg A \vee \neg B)$$

satisfiable, not tautology

$$(A \vee B) \wedge (\neg A \vee \neg B) \wedge (A \leftrightarrow B)$$

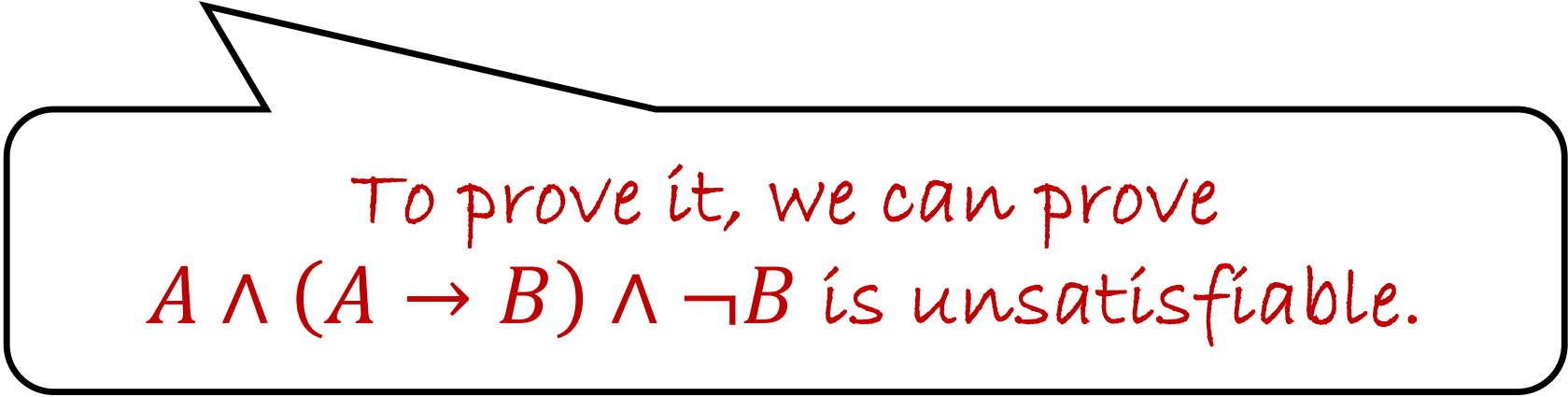
unsatisfiable/contradiction

$$(P \wedge \neg P) \rightarrow (P \wedge \neg P)$$

tautology

$$A \wedge (A \rightarrow B) \rightarrow B$$

tautology



To prove it, we can prove
 $A \wedge (A \rightarrow B) \wedge \neg B$ is unsatisfiable.

Can computers solve these problems
automatically?

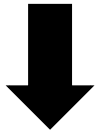


Yes! Give me the formula,
I will tell you the answer





$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$



```
from sympy.logic.inference import satisfiable
from sympy import *

P1, P2, P3 = symbols("P1,P2,P3")

models = satisfiable( P3 & (~ (P1 & P2)) & (~(~P1 & P3)) )
if models:
    print( models )
```

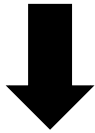
Define propositional variables

Solve the WFF

Print the
interpretation



$$P3 \wedge \neg(P1 \wedge P2) \wedge \neg(\neg P1 \wedge P3)$$



```
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Define propositional variables

Print the
interpretation

SAT solver will
convert it into CNF

What is the input?



A valid formula.



Quick Recap

INDUCTIVE DEFINITION of WFF

- 1). Every single proposition (symbol) is in WFF.
- 2). If A and B are WFF, so are $(\neg A)$, $(A \wedge B)$, $(A \vee B)$, $(A \rightarrow B)$, and $(A \leftrightarrow B)$.
- 3). No expression is WFF unless forced by 1) or 2).

$(\neg P)$

$(P \wedge Q)$

$(P \vee Q)$

$(P \rightarrow Q)$

$(P \leftrightarrow Q)$

WFF

Recognize well-formed formulas

Inductive Definition (WFF) $\longleftrightarrow$ Recursive Function

Recursive Function

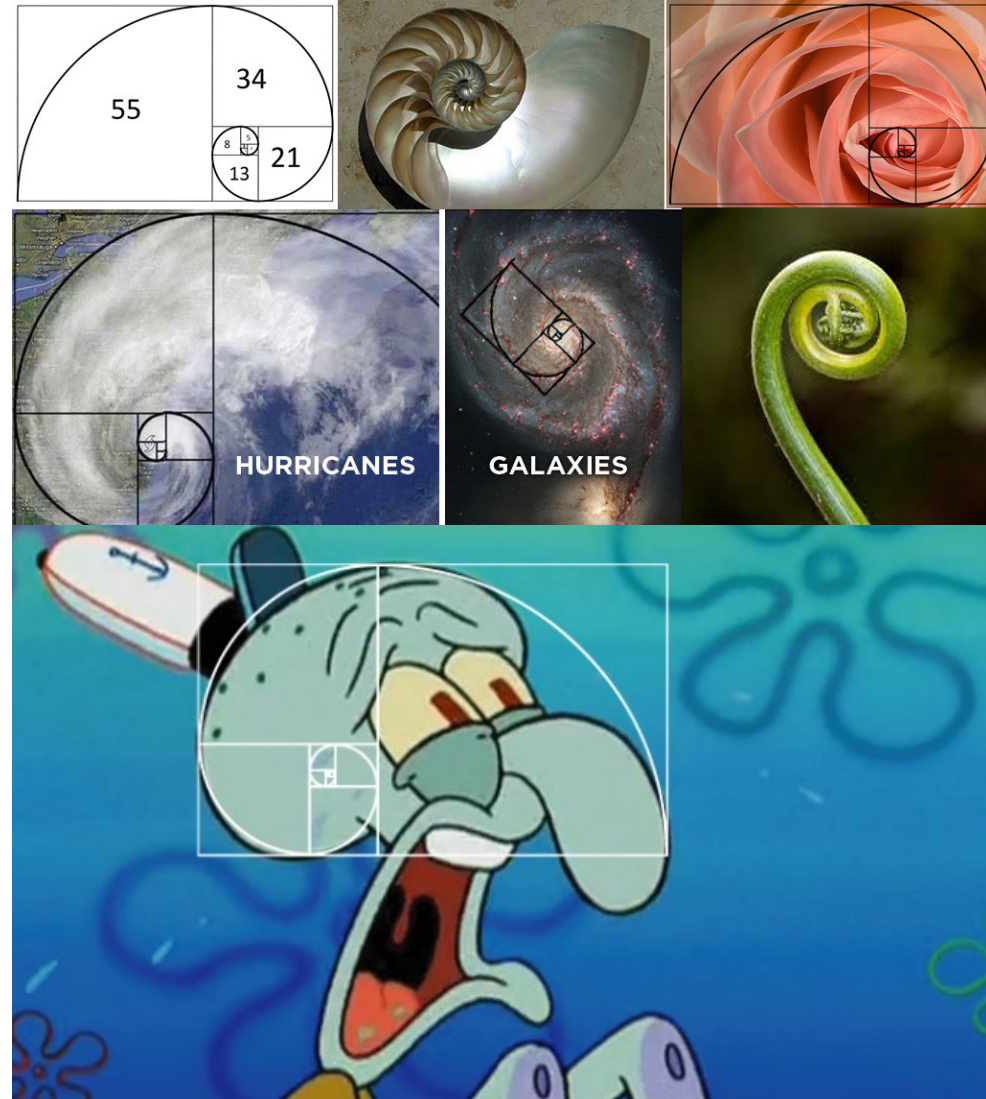
Operation for base elements.

Operation for elements built by elements-building operations.

Recursion (递归)

Fibonacci sequence function

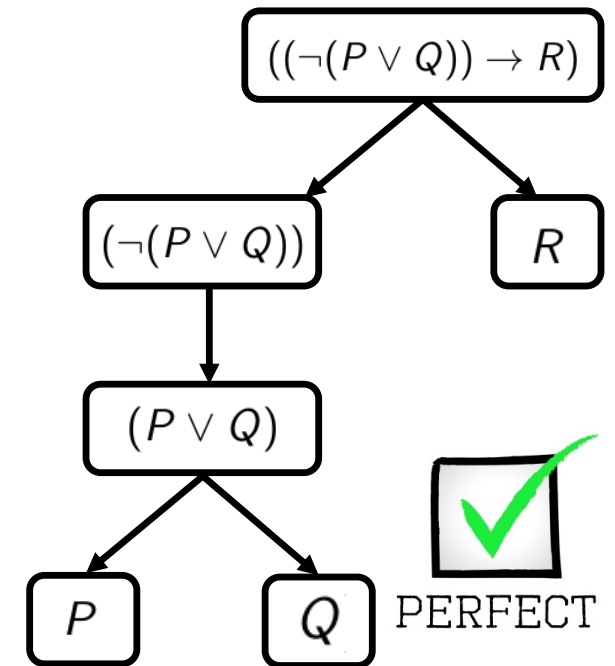
- $F(0) = 1$
- $F(1) = 1$
- $F(n) = F(n-1) + F(n-2)$



Recognizing Well-formed Formulas

Input: a formula P ; Output: true or false (indicating whether it is a WFF)

0. Begin with an initial tree T containing a single node labeled with P
1. If all leaves of T are labeled with propositional variables, return true
2. Select a leaf labeled with an expression f which is not a propositional variable
3. If f does not begin with $($, return *false*. If f does not end with $)$, return false.
4. If $f = (\neg Q)$, then add a child to the leaf labeled by f , label it with Q , and goto 1
5. If $f = (A \odot B)$ where $\odot$ is one of $\{\wedge, \vee, \rightarrow, \leftrightarrow\}$, then add two children to the leaf labeled by f , label them with A and B , and goto 1
6. Return false



Recognizing Well-formed Formulas

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0. Begin with an initial tree T containing a single node labeled with P

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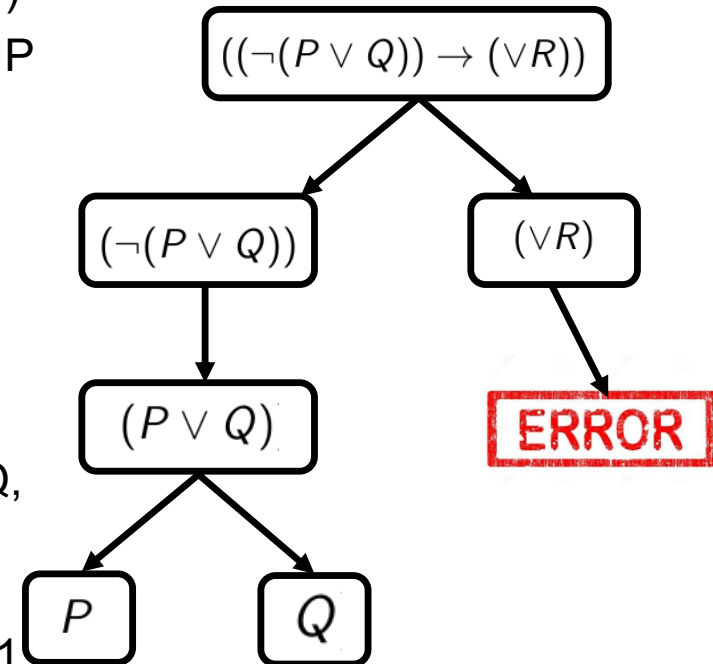
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 6. Return false

ERROR

$((\neg(P \vee Q))) \rightarrow R)$

It is not a
logical
connective

How do you solve the problem?



Truth table?

Determining Satisfiability using Truth Tables

$$A \wedge (B \vee \neg A) \wedge (C \vee \neg B)$$

A	B	C	$A \wedge ((B \vee \neg A) \wedge (C \vee \neg B))$

Determining Satisfiability using Truth Tables

$$A \wedge (B \vee \neg A) \wedge (C \vee \neg B)$$

A	B	C	$A \wedge ((B \vee \neg A) \wedge (C \vee \neg B))$
F	F	F	F
F	F	T	T
F	T	F	T
F	T	T	T
T	F	F	T
T	F	T	T
T	T	F	T
T	T	T	T

Determining Satisfiability using Truth Tables

$$A \wedge (B \vee \neg A) \wedge (C \vee \neg B)$$

A	B	C	$A \wedge ((B \vee \neg A) \wedge (C \vee \neg B))$
F	F	F	F
F	F	T	F

Determining Satisfiability using Truth Tables

$$A \wedge (B \vee \neg A) \wedge (C \vee \neg B)$$

A	B	C	$A \wedge ((B \vee \neg A) \wedge (C \vee \neg B))$						
F	F	F	F	T	T	T	T	T	
F	F	T	F	T	T	T	T	T	
F	T	F	F	T	T	F	F	F	
F	T	T	F	T	T	T	T	F	
T	F	F	F	F	F	F	T	T	
T	F	T	F	F	F	F	T	T	
T	T	F	F	T	F	F	F	F	
T	T	T	T	T	F	T	T	F	

Determining Satisfiability using Truth Tables

$$A \wedge (B \vee \neg A) \wedge (C \vee \neg B)$$

A	B	C	$A \wedge ((B \vee \neg A) \wedge (C \vee \neg B))$						
F	F	F	F	T	T	T	T	T	
F	F	T	F	T	T	T	T	T	
F	T	F	F	T	T	F	F	F	
F	T	T	F	T	T	T	T	F	
T	F	F	F	F	F	F	T	T	
T	F	T	F	F	F	F	T	T	
T	T	F	F	T	F	F	F	F	
T	T	T	T	T	F	T	T	F	

Determining Satisfiability using Truth Tables

Given n variables, what is the complexity of truth table?



Determining Satisfiability using Truth Tables

Given n variables, what is the complexity of the truth table?

2^n !!!



SAT (可满足性问题)

DEFINITION

In computer science, the satisfiability problem (abbr. SAT) is the problem of determining if there exists an interpretation that satisfies a given formula.

The first problem that
was proven to be NP-
complete
(Cook-Levin theorem)

SAT (可满足性问题)

DEFINITION

In computer science, the satisfiability problem (abbr. SAT) is the problem of determining if there exists an interpretation that satisfies a given formula.

S. A. Cook.

The Complexity of Theorem Proving Procedures.

Proceedings of the Third Annual ACM Symposium on the Theory of Computing, 151-158, 1971.

<https://www.inf.unibz.it/teaching/material/co...> PDF

The Complexity of Theorem-Proving Procedures

作者: SA Cook 被引用次数: 10020 — A method of measuring the complexity of proof procedures for the predicate calculus is introduced and discussed. Throughout this paper, a se...

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Stephen Cook



Leonid Levin

Normal Form (范式)

OBSERVATION

Given a WFF, you can build infinite formulas that are equivalent to it.

$$A \rightarrow B, \neg A \vee B, \neg(A \wedge \neg B)$$

They are in different form
but they are equivalent.

Normal Form (范式)

OBSERVATION

Given a WFF, you can build infinite formulas that are equivalent to it.

$$A \rightarrow B, \neg A \vee B, \neg(A \wedge \neg B)$$

If we can require a normal form, it is good for designing algorithm for SAT problem.

Normal Form (范式)

DEFINITION

A literal (文字) is a propositional variable or its negation.

A clause (析取式/子句) is a disjunction of one or more literals.

A conjunctive clause (合取式) is a conjunction of one or more literals.

A literal

$\neg B$ literal

$\neg A \vee B$ disjunctive clause

$A \wedge \neg B$ conjunctive clause

Conjunction Normal Form (合取范式)

DEFINITION

A formula is in **conjunctive normal form** (CNF) or clausal normal form if it is a conjunction of one or more clauses. Otherwise put, it is an **AND of ORs**.

Modern SAT solvers use
CNF.



$$(A \vee \neg B) \wedge (B \vee \neg A) \wedge A$$
$$A \wedge B$$

Converting to CNF

However, many problems are not in CNF. How to convert them to CNF?



Converting to CNF

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all false rows

Step2: generate a formula for every row

Step3: use "and" to connect these formulas

$$g_1(P, Q) = ((\neg P) \vee Q) \wedge ((\neg P) \vee (\neg Q))$$

It is in CNF! Truth table can help convert formulas to CNF.

Converting to CNF

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all false rows

Step2: generate a formula for every row

Step3: use "and" to connect these formulas

$$g_1(P, Q) = ((\neg P) \vee Q) \wedge ((\neg P) \vee (\neg Q))$$

But if we can build the truth table, we don't need SAT solvers.

Converting to CNF

Maybe we can use
propositional equivalence laws
to do it.



Converting to CNF

$$\neg(P \vee Q) \leftrightarrow (P \wedge Q)$$

Example

Converting to CNF

$$\neg(P \vee Q) \leftrightarrow (P \wedge Q)$$
$$=(\neg\neg(P \vee Q) \vee (P \wedge Q)) \wedge (\neg(P \vee Q) \vee \neg(P \wedge Q))$$

Step1: eliminate
implication and
biconditional
connectives.

Converting to CNF

$$\begin{aligned}\neg(P \vee Q) &\leftrightarrow (P \wedge Q) \\ &= (\neg\neg(P \vee Q) \vee (P \wedge Q)) \wedge (\neg(P \vee Q) \vee \neg(P \wedge Q)) \\ &= ((P \vee Q) \vee (P \wedge Q)) \wedge ((\neg P \wedge \neg Q) \vee (\neg P \vee \neg Q))\end{aligned}$$

Step1: eliminate implication and biconditional connectives.

Step2: repeatedly use De Morgan's laws and double negation law to move "not" inside

Converting to CNF

$$\begin{aligned} & \neg(P \vee Q) \leftrightarrow (P \wedge Q) \\ & = (\neg\neg(P \vee Q) \vee (P \wedge Q)) \wedge (\neg(P \vee Q) \vee \neg(P \wedge Q)) \\ & = ((P \vee Q) \vee (P \wedge Q)) \wedge ((\neg P \wedge \neg Q) \vee (\neg P \vee \neg Q)) \\ & = (P \vee Q) \wedge (\neg P \vee \neg Q) \end{aligned}$$

Step3: repeatedly use
distributive law to get
CNF

Step1: eliminate
implication and
biconditional
connectives.

Step2: repeatedly use
De Morgan's laws and
double negation law to
move "not" inside

Exercise

$$(A \wedge B) \leftrightarrow E$$

$$(A \wedge B) \leftrightarrow E$$

$$((A \wedge B) \rightarrow E) \wedge (E \rightarrow (A \wedge B))$$

$$(\neg(A \wedge B) \vee E) \wedge (\neg E \vee (A \wedge B))$$

$$(\neg A \vee \neg B \vee E) \wedge (\neg E \vee A) \wedge (\neg E \vee B)$$

Are there any other normal forms?



Disjunction Normal Form (析取范式)

DEFINITION

A formula is in **disjunctive normal form** (DNF) if it is a disjunction of one or more conjunction clauses. Otherwise put, it is an **OR of ANDs**.

$$A \vee B$$

$$(A \wedge B) \vee (\neg A \wedge \neg B)$$

Converting to DNF

$$\neg(P \vee Q) \leftrightarrow (P \wedge Q)$$

Same example in
CNF

Converting to DNF

$$\neg(P \vee Q) \leftrightarrow (P \wedge Q)$$
$$=(\neg\neg(P \vee Q) \wedge \neg(P \wedge Q)) \vee (\neg(P \vee Q) \wedge (P \wedge Q))$$

Step1: eliminate
implication and
biconditional
connectives.

Converting to DNF

$$\begin{aligned}\neg(P \vee Q) &\leftrightarrow (P \wedge Q) \\ &= (\neg\neg(P \vee Q) \wedge \neg(P \wedge Q)) \vee (\neg(P \vee Q) \wedge (P \wedge Q)) \\ &= ((P \vee Q) \wedge (\neg P \vee \neg Q)) \vee (\neg P \wedge \neg Q \wedge P \wedge Q)\end{aligned}$$

Step1: eliminate
implication and
biconditional
connectives.

Step2: repeatedly use
De Morgan's laws and
double negation law to
move "not" inside

Converting to DNF

$$\begin{aligned}\neg(P \vee Q) &\leftrightarrow (P \wedge Q) \\ &= (\neg\neg(P \vee Q) \wedge \neg(P \wedge Q)) \vee (\neg(P \vee Q) \wedge (P \wedge Q)) \\ &= ((P \vee Q) \wedge (\neg P \vee \neg Q)) \vee (\neg P \wedge \neg Q \wedge P \wedge Q) \\ &= (P \wedge \neg P) \vee (Q \wedge \neg P) \vee (P \wedge \neg Q) \vee (Q \wedge \neg Q) \vee (\neg P \wedge \neg Q \wedge P \wedge Q)\end{aligned}$$

Step3: repeatedly use
distributive law to get
DNF

Step1: eliminate
implication and
biconditional
connectives.

Step2: repeatedly use
De Morgan's laws and
double negation law to
move "not" inside

Exercise

$$(A \wedge B) \leftrightarrow E$$

$$(A \wedge B) \leftrightarrow E$$

$$=(A \wedge B \wedge E) \vee (\neg(A \wedge B) \wedge \neg E)$$

$$=(A \wedge B \wedge E) \vee ((\neg A \vee \neg B) \wedge \neg E)$$

$$=(A \wedge B \wedge E) \vee (\neg A \wedge \neg E) \vee (\neg B \wedge \neg E)$$

Why does SAT Solvers use
CNF instead of DNF?
If SAT solvers use DNF, what
will happen?



CNF v.s. DNF

$$A_1 \wedge A_2 \wedge A_3 \wedge \dots \wedge A_n$$

$$A_1 \vee A_2 \vee A_3 \vee \dots \vee A_n$$

A formula in DNF is true when one of these conjunction clauses is true. One of them is true when P and $\neg P$ don't exist at the same time.

CNF v.s. DNF

$$A_1 \wedge A_2 \wedge A_3 \wedge \dots \wedge A_n$$

$$A_1 \vee A_2 \vee A_3 \vee \dots \vee A_n$$

Things become much easier when we address DNF instead of CNF. Why SAT solvers use CNF?

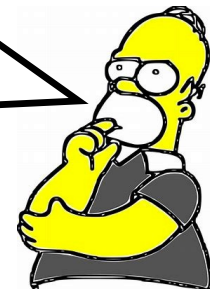
Disjunction Normal Form

$$n \left[\begin{array}{l} (A_1 \vee B_1) \wedge \\ (A_2 \vee B_2) \wedge \\ (A_3 \vee B_3) \wedge \\ \dots \\ (A_n \vee B_n) \end{array} \right] \longleftrightarrow \left[\begin{array}{l} (A_1 \wedge A_2 \wedge \dots \wedge A_n) \vee \\ (B_1 \wedge A_2 \wedge \dots \wedge A_n) \vee \\ (A_1 \wedge B_2 \wedge \dots \wedge A_n) \vee \\ \dots \\ (B_1 \wedge B_2 \wedge \dots \wedge B_n) \end{array} \right] 2^n$$

You can convert a formula into DNF, but the resulting formula might be very much larger than the original formula—in fact, exponentially so.

WHY CNF?

But what about CNF?



WHY CNF?

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$



3X3

$$\begin{aligned} &(A1 \vee B1) \wedge (A1 \vee B2) \wedge (A1 \vee B3) \wedge \\ &(A2 \vee B1) \wedge (A2 \vee B2) \wedge (A2 \vee B3) \wedge \\ &(A3 \vee B1) \wedge (A3 \vee B2) \wedge (A3 \vee B3) \end{aligned}$$

It seems to exponentially
explode, too.



Switch Variables

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$

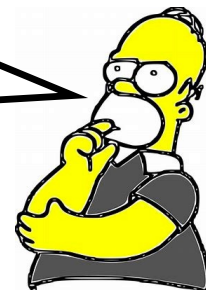


The translation is
equi-satisfiable.

$$(Z \rightarrow A1 \wedge A2 \wedge A3) \wedge \\ (\neg Z \rightarrow B1 \wedge B2 \wedge B3)$$

The translation is
not equivalent.

We want to determine satisfiability. The
translation does not need to ensure
equivalence.



Switch Variables

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$



The translation is
equi-satisfiable.

$$(Z \rightarrow A1 \wedge A2 \wedge A3) \wedge \\ (\neg Z \rightarrow B1 \wedge B2 \wedge B3)$$

Why are the two formulas
equi-satisfiable?



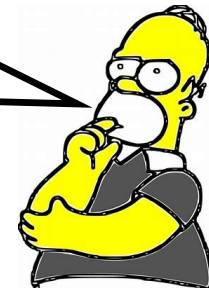
Switch Variables

$A1 \wedge A2 \wedge A3$	$B1 \wedge B2 \wedge B3$	$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$	Z	$(Z \rightarrow (A1 \wedge A2 \wedge A3)) \wedge (\neg Z \rightarrow (B1 \wedge B2 \wedge B3))$
T	T	T	T	T
T	F	T	T	T
F	T	T	F	T

Possible cases where the first formula is true.

Under every case, we can find a "Z" to make the second formula to be true.

When the first formula is satisfiable, the second formula is also satisfiable.



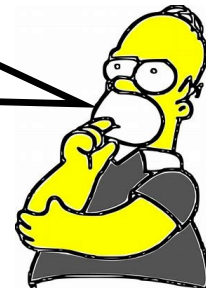
Switch Variables

$A1 \wedge A2 \wedge A3$	$B1 \wedge B2 \wedge B3$	$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$	Z	$(Z \rightarrow (A1 \wedge A2 \wedge A3)) \wedge (\neg Z \rightarrow (B1 \wedge B2 \wedge B3))$
F	F	F	T	F
F	F	F	F	F

They must always be false.

The second formula is always false regardless of the truth value of Z .

When the first formula is unsatisfiable, the second formula is also unsatisfiable.



Switch Variables

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$



$$(Z \rightarrow A1 \wedge A2 \wedge A3) \wedge$$

$$(\neg Z \rightarrow B1 \wedge B2 \wedge B3)$$

The translation is
not equivalent.

A1	A2	A3	B1	B2	B3	Z	$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$	$(Z \rightarrow (A1 \wedge A2 \wedge A3)) \wedge (\neg Z \rightarrow (B1 \wedge B2 \wedge B3))$
T	T	T	F	F	F	F	T	F

Switch Variables

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$



$$(Z \rightarrow A1 \wedge A2 \wedge A3) \wedge$$

$$(\neg Z \rightarrow B1 \wedge B2 \wedge B3)$$

Exercise: convert it to CNF
and check if there is explosion.



Switch Variables

$$(A1 \wedge A2 \wedge A3) \vee (B1 \wedge B2 \wedge B3)$$



$$(Z \rightarrow A1 \wedge A2 \wedge A3) \wedge$$

$$(\neg Z \rightarrow B1 \wedge B2 \wedge B3)$$



$$(Z \rightarrow (A1 \wedge A2 \wedge A3)) \wedge (\neg Z \rightarrow (B1 \wedge B2 \wedge B3))$$

$$=(\neg Z \vee (A1 \wedge A2 \wedge A3)) \wedge (Z \vee (B1 \wedge B2 \wedge B3))$$

3+3

$$=(\neg Z \vee A1) \wedge (\neg Z \vee A2) \wedge (\neg Z \vee A3) \wedge$$

$$(Z \vee B1) \wedge (Z \vee B2) \wedge (Z \vee B3)$$

There is not explosion.

Normal Form Theorem

THEOREM

Every formula can be converted to CNF and DNF.

We can use truth table to convert a formula to CNF and DNF.



$$\neg(P \vee Q) \leftrightarrow (P \wedge Q) \begin{array}{l} \nearrow (P \vee Q) \wedge (\neg P \vee \neg Q) \\ \searrow (P \vee Q) \wedge (\neg P \vee \neg Q) \wedge (P \vee \neg P) \end{array}$$

Although we can convert formulas to CNF, we can still get many different formulas.

Can we define a more precise form?



Principal Normal Form (主范式)

DEFINITION

Given n propositional variables, if every variable exists exactly once in a conjunction clause, the clause is a minterm (极小项) .

$$P1 \ P2 \longrightarrow P1 \wedge P2, \neg P1 \wedge P2, P1 \wedge \neg P2, \neg P1 \wedge \neg P2 \quad 2^n$$

Every minterm is true under only one interpretation.

Any two minterms are not equivalent and the conjunction of them is F.

Principal Disjunction Normal Form (主析取范式)

DEFINITION

Principal Disjunction Normal Form(PDNF) is the disjunction of minterms.

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all true rows

Step2: generate a formula for every row

Step3: use "or" to connect these formulas

$$g_0(P, Q) = (((\neg P) \wedge (\neg Q)) \vee ((\neg P) \wedge Q)) \vee (P \wedge Q)$$

Truth table is all-purpose.
Every formula can be converted to only one PDNF.

Principal Disjunction Normal Form (主析取范式)

DEFINITION

Principal Disjunction Normal Form(PDNF) is the disjunction of minterms.

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all true rows

Step2: generate a formula for every row

Step3: use "or" to connect these formulas

$$g_0(P, Q) = (((\neg P) \wedge (\neg Q)) \vee ((\neg P) \wedge Q)) \vee (P \wedge Q)$$

If a PDNF includes all possible minterms, it must be tautology.

Converting to PDNF

$$\begin{aligned} & P \rightarrow Q \\ &= \neg P \vee Q \\ &= (\neg P \wedge (Q \vee \neg Q)) \vee Q \\ &= (\neg P \wedge Q) \vee (\neg P \wedge \neg Q) \vee Q \\ &= (\neg P \wedge Q) \vee (\neg P \wedge \neg Q) \vee (Q \wedge (P \vee \neg P)) \\ &= (\neg P \wedge Q) \vee (\neg P \wedge \neg Q) \vee (P \wedge Q) \vee (\neg P \wedge Q) \\ &= (\neg P \wedge \neg Q) \vee (\neg P \wedge Q) \vee (P \wedge Q) \\ &= m_0 \vee m_1 \vee m_3 = \vee_{0;1;3} \end{aligned}$$

Exercise

$$(A \wedge B) \leftrightarrow E$$

$$\begin{aligned} & (A \wedge B) \leftrightarrow E \\ = & (A \wedge B \wedge E) \vee (\neg(A \wedge B) \wedge \neg E) \\ = & (A \wedge B \wedge E) \vee ((\neg A \vee \neg B) \wedge \neg E) \\ = & (A \wedge B \wedge E) \vee (\neg A \wedge \neg E) \vee (\neg B \wedge \neg E) \\ = & (A \wedge B \wedge E) \vee (\neg A \wedge \neg E \wedge (B \vee \neg B)) \vee (\neg B \wedge \neg E \wedge (A \vee \neg A)) \\ = & (A \wedge B \wedge E) \vee (\neg A \wedge B \wedge \neg E) \vee (\neg A \wedge \neg B \wedge \neg E) \vee (A \wedge \neg B \wedge \neg E) \vee (\neg A \wedge \neg B \wedge \neg E) \\ = & (\neg A \wedge \neg B \wedge \neg E) \vee (\neg A \wedge B \wedge \neg E) \vee (A \wedge \neg B \wedge \neg E) \vee (A \wedge B \wedge E) \\ = & m_0 \vee m_2 \vee m_4 \vee m_7 = \vee_{0;2;4;7} \end{aligned}$$

Principal Normal Form (主范式)

DEFINITION

Given n propositional variables, if every variable exists exactly once in a disjunction clause, the clause is a maxterm (极大项).

$$P1 \ P2 \longrightarrow P1 \vee P2, \neg P1 \vee P2, P1 \vee \neg P2, \neg P1 \vee \neg P2 \quad 2^n$$

Every maxterm is false under only one interpretation.

Every two maxterms are not equivalent and the disjunction of them is T.

Principal Conjunction Normal Form (主合取范式)

DEFINITION

Principal Conjunction Normal Form (PCNF) is the conjunction of maxterms.

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all false rows

Step2: generate a formula for every row

Step3: use "and" to connect these formulas

$$g_1(P, Q) = ((\neg P) \vee Q) \wedge ((\neg P) \vee (\neg Q))$$

Truth table is all-purpose.
Every formula can be converted to only one PCNF.

Principal Conjunction Normal Form (主合取范式)

DEFINITION

Principal Conjunction Normal Form (PCNF) is the conjunction of maxterms.

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

Step1: find all false rows

Step2: generate a formula for every row

Step3: use "and" to connect these formulas

$$g_1(P, Q) = ((\neg P) \vee Q) \wedge ((\neg P) \vee (\neg Q))$$

If a PCNF includes all maxterms, it must be false.

Converting to PCNF

$$P \wedge Q$$

$$=(P \vee (Q \wedge \neg Q)) \wedge (Q \vee (P \wedge \neg P))$$

$$=(P \vee Q) \wedge (P \vee \neg Q) \wedge (Q \vee P) \wedge (Q \vee \neg P)$$

$$=(\neg P \vee Q) \wedge (P \vee \neg Q) \wedge (P \vee Q)$$

$$=M_1 \wedge M_2 \wedge M_3 = \wedge_{1;2;3}$$

Exercise

$$(A \wedge B) \leftrightarrow E$$

$$\begin{aligned} & (A \wedge B) \leftrightarrow E \\ = & (A \wedge B \rightarrow E) \wedge (E \rightarrow A \wedge B) \\ = & (\neg(A \wedge B) \vee E) \wedge (\neg E \vee (A \wedge B)) \\ = & (\neg A \vee \neg B \vee E) \wedge (\neg E \vee A) \wedge (\neg E \vee B) \\ = & (\neg A \vee \neg B \vee E) \wedge (\neg E \vee A \vee (B \wedge \neg B)) \wedge (\neg E \vee B \vee (A \wedge \neg A)) \\ = & (\neg A \vee \neg B \vee E) \wedge (A \vee B \vee \neg E) \wedge (A \vee \neg B \vee \neg E) \wedge (A \vee B \vee \neg E) \wedge (\neg A \vee B \vee \neg E) \\ = & (\neg A \vee \neg B \vee E) \wedge (\neg A \vee B \vee \neg E) \wedge (A \vee \neg B \vee \neg E) \wedge (A \vee B \vee \neg E) \\ = & M_1 \wedge M_2 \wedge M_4 \wedge M_6 = \wedge_{1;2;4;6} \end{aligned}$$

PCNF vs. PDNF

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

P	Q	$g_0(P, Q)$	$g_1(P, Q)$
F	F	T	T
F	T	T	T
T	F	F	F
T	T	T	F

$$g_0(P, Q) = (((\neg P) \wedge (\neg Q)) \vee ((\neg P) \wedge Q)) \vee (P \wedge Q)$$

$$g_1(P, Q) = ((\neg P) \wedge (\neg Q)) \vee ((\neg P) \wedge Q)$$

$$g_0(P, Q) = ((\neg P) \vee Q)$$

$$g_1(P, Q) = ((\neg P) \vee Q) \wedge ((\neg P) \vee (\neg Q))$$

Can you find the relation between PCNF and PDNF according to truth table?

SAT Solver

In practice, CNF is enough and more convenient for SAT Solver.



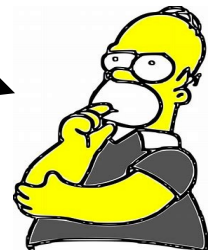
Do you enumerate all cases?



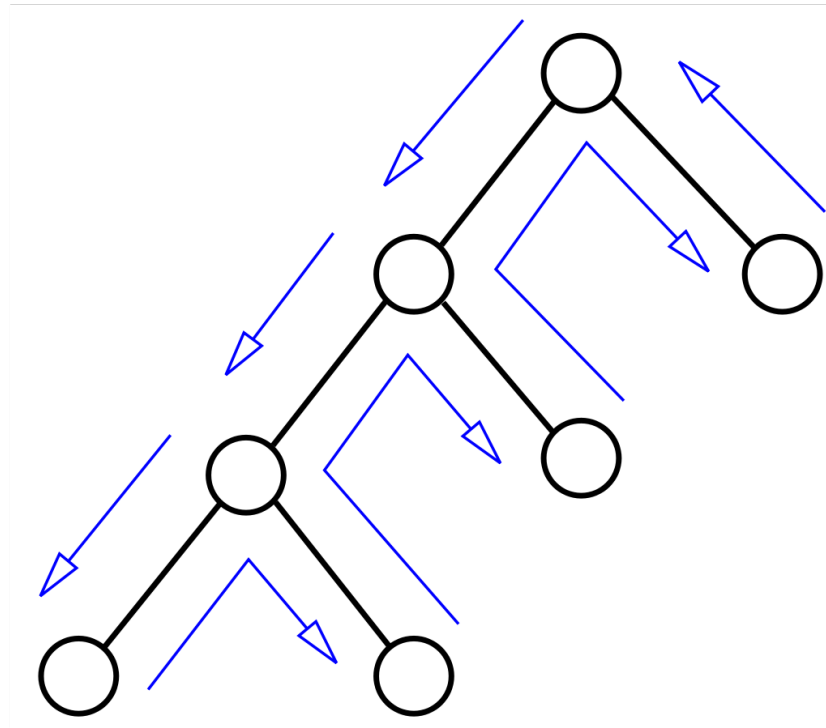
That is crazy.



So, how?



DPLL (Davis-Putnam-Logemann-Loveland Algorithm)



*Solve SAT problem with
logical inference.*



The backtracking DPLL algorithm is
the basis for most modern SAT solvers.

DPLL

OVERVIEW

Iteratively process by following steps:

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and re-guess a variable

DEFINITION

A literal is defined if its truth value has been guessed or inferred.

DPLL

OVERVIEW

Iteratively process by following steps:

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and re-guess a variable

DEFINITION

A literal is defined if its truth value has been guessed or inferred.

DPLL

DECIDE RULE

A literal l is defined by "guessing", then it is annotated as a "decision literal". If all defined literals can not satisfy formula F , then $\neg l$ must be concerned.

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

A is an undefined literal. We can guess $A=F$ temporarily which makes A to be a decision literal.

DPLL

OVERVIEW

Iteratively process by following steps:

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and re-guess a variable

DEFINITION

A literal is defined if its truth value has been guessed or inferred.

DPLL

UNITPROPAGATE RULE

To satisfy a CNF formula, all its clauses have to be true. Hence, if a clause of F contains a literal l whose truth value is not defined by the current assignment while all the remaining literals of the clause are false, l must be defined to be true.

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

Because we guess $A=F$, then we can infer that $B=F$. Then we can infer $C=F$ according to the second clause.

DPLL

OVERVIEW

Iteratively process by following steps:

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and re-guess a variable

DEFINITION

A literal is defined if its truth value has been guessed or inferred.

DPLL

BACKTRACK RULE

If a conflicting clause C is detected and there is defined decision literal l , then the rule backtracks by replacing the most recent decision literal l by $\neg l$ and removing any subsequent literals in the current assignment. Note that $\neg l$ is annotated as a non-decision literal, since the other possibility l has already been explored.

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

A conflicting clause

Because $A=B=C=F$, then the last clause is F. Now we can backtrack and guess $A=T$. Now A is not a decision literal.

DPLL

FAIL RULE

This rule detects a conflicting clause C and produces the FailState state whenever there is no decision literals defined.

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

A conflicting clause

We can backtrack because A is a decision literal. If there is no decision literal, we can conclude the formula is unsatisfiable.

DPLL

OVERVIEW

Iteratively try to

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and reguess a variable

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

Now $A=T$ and we can repeat the three steps to get the answer.

DPLL

PURELITERAL RULE

If a literal l is pure in F , i.e., it occurs in F while its negation does not, then F is satisfiable only if it defines l to be true. Thus, we can define l to be true.

$$(A \vee \neg B) \wedge (B \vee \neg C) \wedge (C \vee A)$$

Actually, we don't need to guess A here.
Because A exists and $\neg A$ doesn't exist.
So we can directly determine $A=T$.

Example

$$\emptyset \parallel \bar{1} \vee \bar{2}, 2 \vee 3, \bar{1} \vee \bar{3} \vee 4, 2 \vee \bar{3} \vee \bar{4}, 1 \vee 4 \implies (\text{Decide})$$

Example

$$\begin{array}{llllllll} \emptyset & \parallel & \bar{1} \vee \bar{2}, & 2 \vee 3, & \bar{1} \vee \bar{3} \vee 4, & 2 \vee \bar{3} \vee \bar{4}, & 1 \vee 4 & \implies & \text{(Decide)} \\ 1^d & \parallel & \bar{1} \vee \bar{2}, & 2 \vee 3, & \bar{1} \vee \bar{3} \vee 4, & 2 \vee \bar{3} \vee \bar{4}, & 1 \vee 4 & \implies & \text{(UnitPropagate)} \end{array}$$

Example

$$\begin{array}{llllllll} \emptyset & \parallel & \bar{1} \vee \bar{2}, & 2 \vee 3, & \bar{1} \vee \bar{3} \vee 4, & 2 \vee \bar{3} \vee \bar{4}, & 1 \vee 4 & \implies & (\text{Decide}) \\ 1^d & \parallel & \bar{1} \vee \bar{2}, & 2 \vee 3, & \bar{1} \vee \bar{3} \vee 4, & 2 \vee \bar{3} \vee \bar{4}, & 1 \vee 4 & \implies & (\text{UnitPropagate}) \\ 1^d \bar{2} & \parallel & \bar{1} \vee \bar{2}, & 2 \vee 3, & \bar{1} \vee \bar{3} \vee 4, & 2 \vee \bar{3} \vee \bar{4}, & 1 \vee 4 & \implies & (\text{UnitPropagate}) \end{array}$$

Example

$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)

Example

$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Backtrack)

Example

$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Backtrack)
$\bar{1}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)

Example

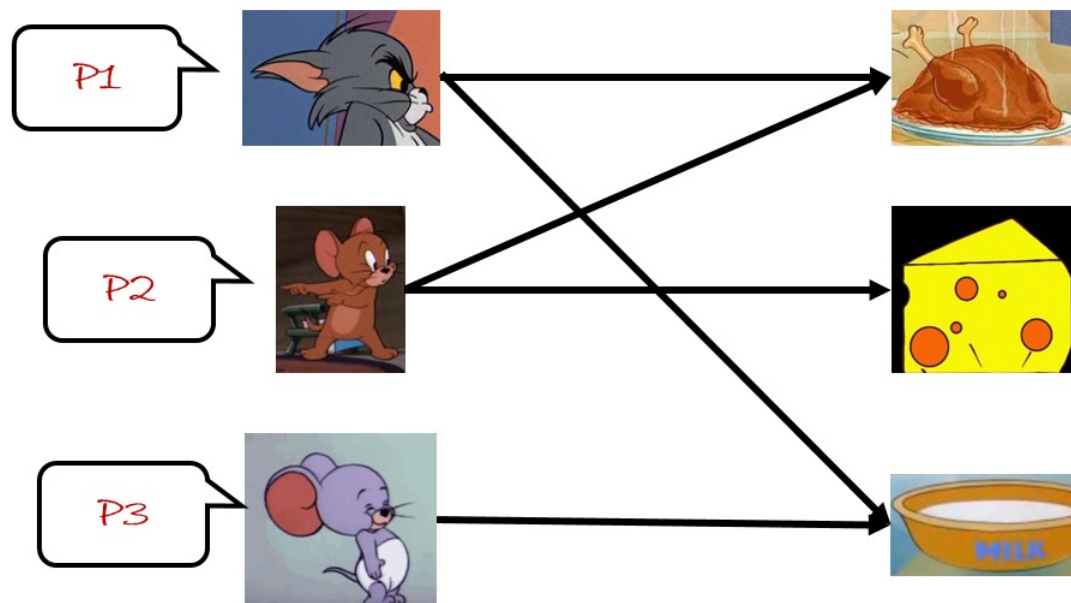
$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Backtrack)
$\bar{1}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$\bar{1} 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)

Example

$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Backtrack)
$\bar{1}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$\bar{1} 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
$\bar{1} 4 \bar{3}^d$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)

Example

$\emptyset$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
1^d	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$1^d \bar{2} 3 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Backtrack)
$\bar{1}$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$\bar{1} 4$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(Decide)
$\bar{1} 4 \bar{3}^d$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$	$\implies$	(UnitPropagate)
$\bar{1} 4 \bar{3}^d 2$	$\parallel$	$\bar{1} \vee \bar{2},$	$2 \vee 3,$	$\bar{1} \vee \bar{3} \vee 4,$	$2 \vee \bar{3} \vee \bar{4},$	$1 \vee 4$		



$$\emptyset \parallel 3, \bar{1} \vee \bar{2}, 1 \vee \bar{3} \implies (PureLiteral)$$

$$\bar{2} \parallel 3, \bar{1} \vee \bar{2}, 1 \vee \bar{3} \implies (UnitProp)$$

$$\bar{2} 3 \parallel 3, \bar{1} \vee \bar{2}, 1 \vee \bar{3} \implies (UnitProp)$$

$$\bar{2} 3 1 \parallel 3, \bar{1} \vee \bar{2}, 1 \vee \bar{3}$$

Exercise

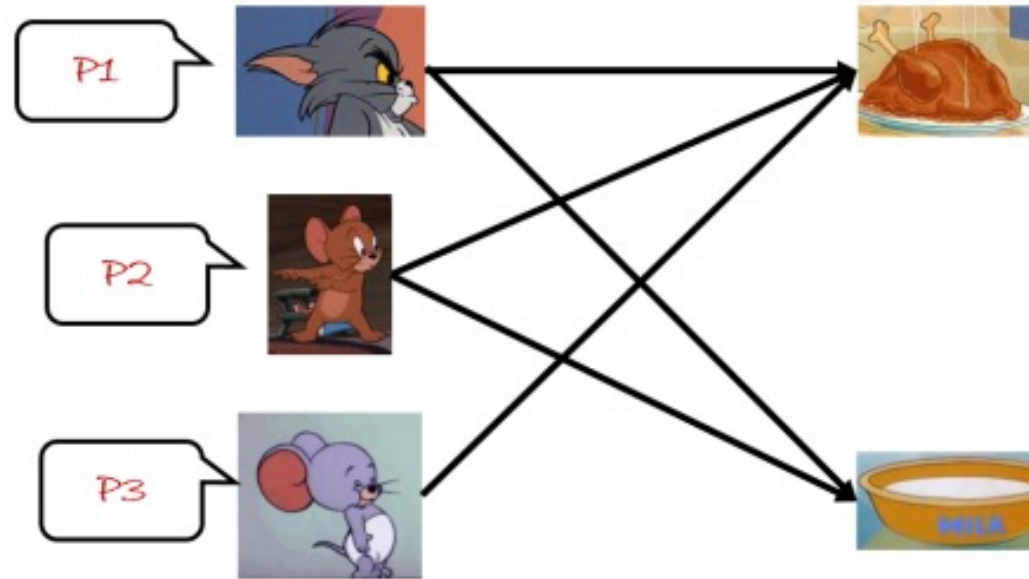
$$1 \vee \bar{2}, \quad \bar{1} \vee \bar{2}, \quad 2 \vee 3, \quad \bar{3} \vee 2, \quad 1 \vee 4$$

Exercise

$$1 \vee \bar{2}, \quad \bar{1} \vee \bar{2}, \quad 2 \vee 3, \quad \bar{3} \vee 2, \quad 1 \vee 4$$

$\emptyset$	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(PureLiteral)
4	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(Decide)
4 1 ^d	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(UnitProp)
4 1 ^d $\bar{2}$	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(UnitProp)
4 1 ^d $\bar{2}$ 3	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(Backtrack)
4 $\bar{1}$	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(UnitProp)
4 $\bar{1}$ $\bar{2}$ $\bar{3}$	$\parallel$	$1 \vee \bar{2}, \bar{1} \vee \bar{2}, 2 \vee 3, \bar{3} \vee 2, 1 \vee 4$	$\implies$	(Fail)
<i>fail</i>				

UNSAT



$$\begin{aligned} & P3 \wedge \neg(P1 \wedge P2) \wedge \neg(P2 \wedge P3) \wedge \neg(P1 \wedge P3) \wedge \neg(\neg P1 \wedge \neg P2) \\ &= P3 \wedge (\neg P1 \vee \neg P2) \wedge (\neg P2 \vee \neg P3) \wedge (\neg P1 \vee \neg P3) \wedge (P1 \vee P2) \end{aligned}$$

UNSAT

$$\begin{aligned}\emptyset &\parallel \boxed{3}, \bar{1} \vee \bar{2}, \bar{2} \vee \bar{3}, \bar{1} \vee \bar{3}, 1 \vee 2 \implies (UnitProp) \\ 3 &\parallel 3, \bar{1} \vee \bar{2}, \boxed{\bar{2} \vee \bar{3}}, \bar{1} \vee \bar{3}, 1 \vee 2 \implies (UnitProp) \\ 3 \ \bar{2} &\parallel 3, \bar{1} \vee \bar{2}, \bar{2} \vee \bar{3}, \boxed{\bar{1} \vee \bar{3}}, 1 \vee 2 \implies (UnitProp) \\ 3 \ \bar{2} \ \bar{1} &\parallel 3, \bar{1} \vee \bar{2}, \bar{2} \vee \bar{3}, \bar{1} \vee \bar{3}, \boxed{1 \vee 2} \implies (Fail) \\ &\textit{fail}\end{aligned}$$

All in one



Inviting one of every group to have a meeting



However, there are some requirements



They cannot attend together.



However, there are some requirements



If sandy attends,
SpongeBob must
attend.



Who should you invite?



Who should you invite?

P1



P2



P3



P4



Who should you invite?

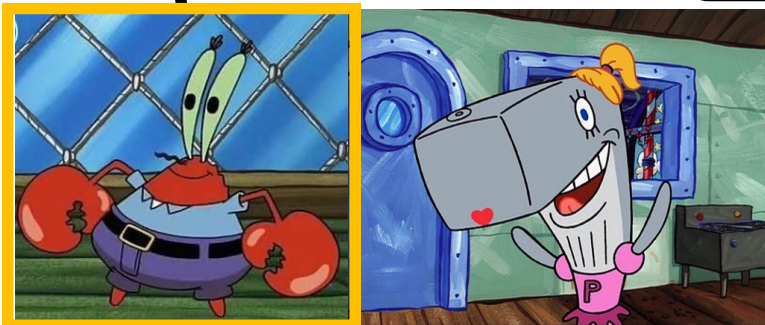
P1



P2



P3 = T



P4



Who should you invite?

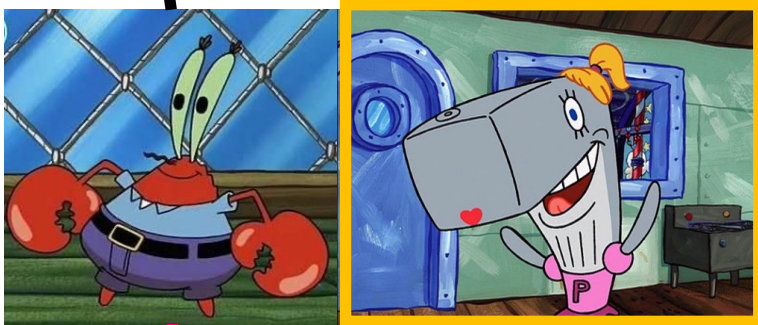
P1



P2



P3 = F

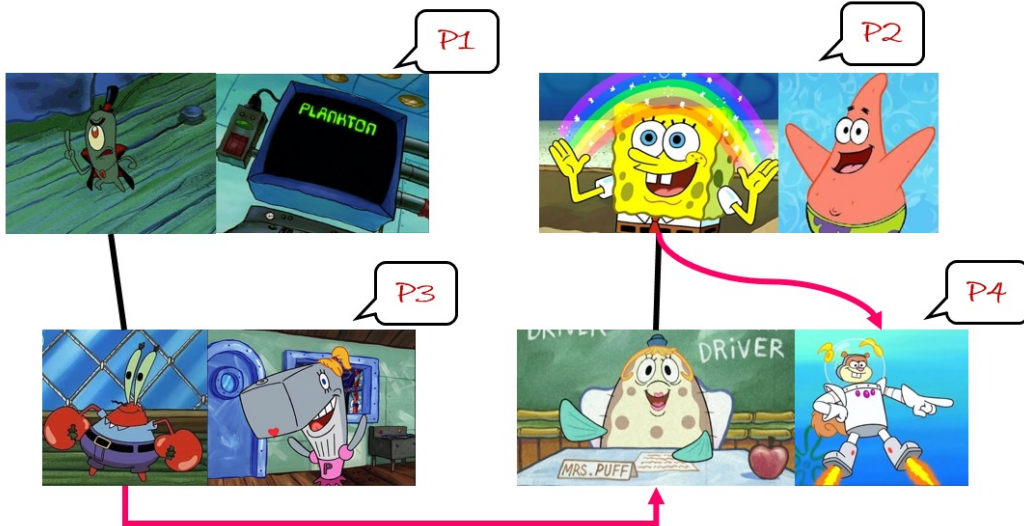


P4



Formalize the problem

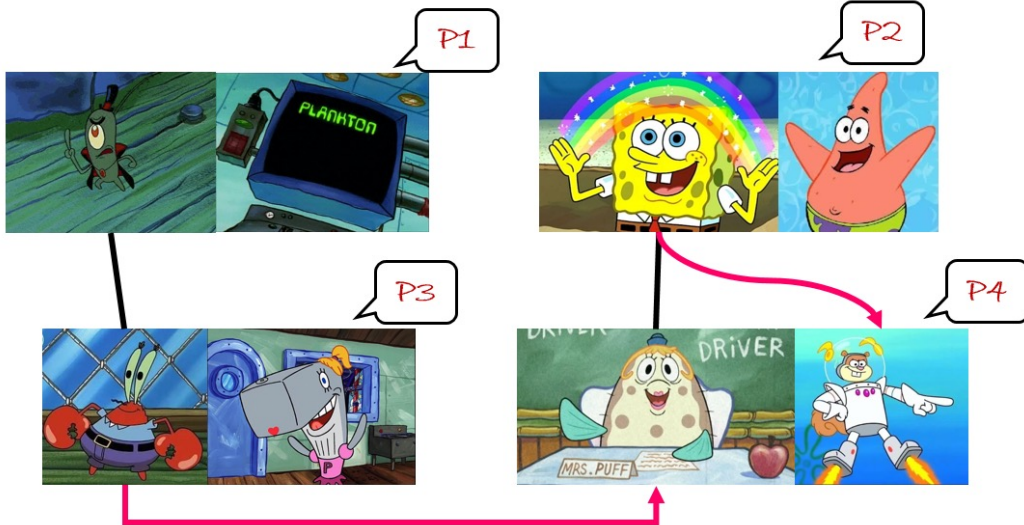
Krabs and Plankton
cannot attend
together.



$$\neg(P1 \wedge P3) \wedge \\ \neg(P2 \wedge P4) \wedge \\ (\neg P4 \rightarrow P2) \wedge \\ (P4 \rightarrow P3)$$

Formalize the problem

SpongeBob and Mrs. Puff
cannot attend together.



$$\neg(P1 \wedge P3) \wedge$$

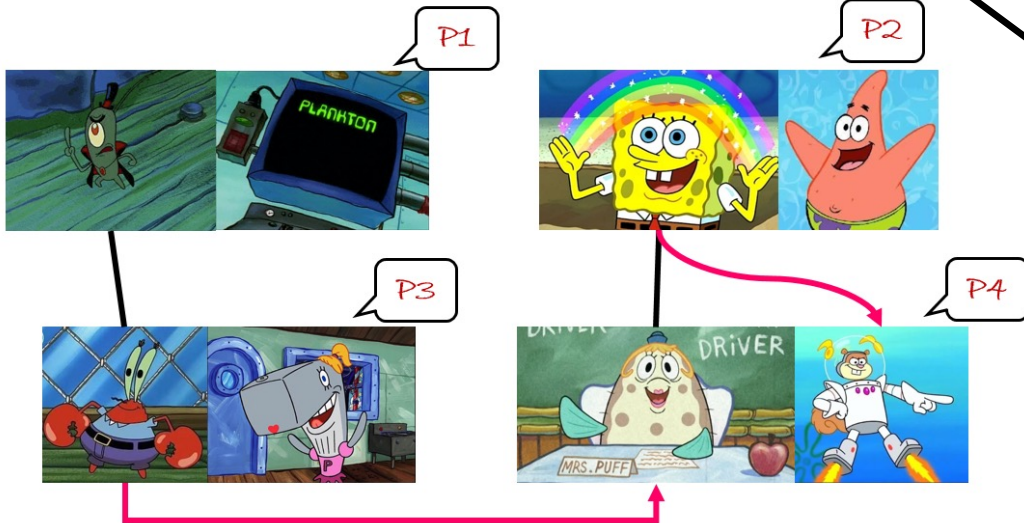
$$\neg(P2 \wedge P4) \wedge$$

$$(\neg P4 \rightarrow P2) \wedge$$

$$(P4 \rightarrow P3)$$

Formalize the problem

If Sandy attends,
SpongeBob must attend.



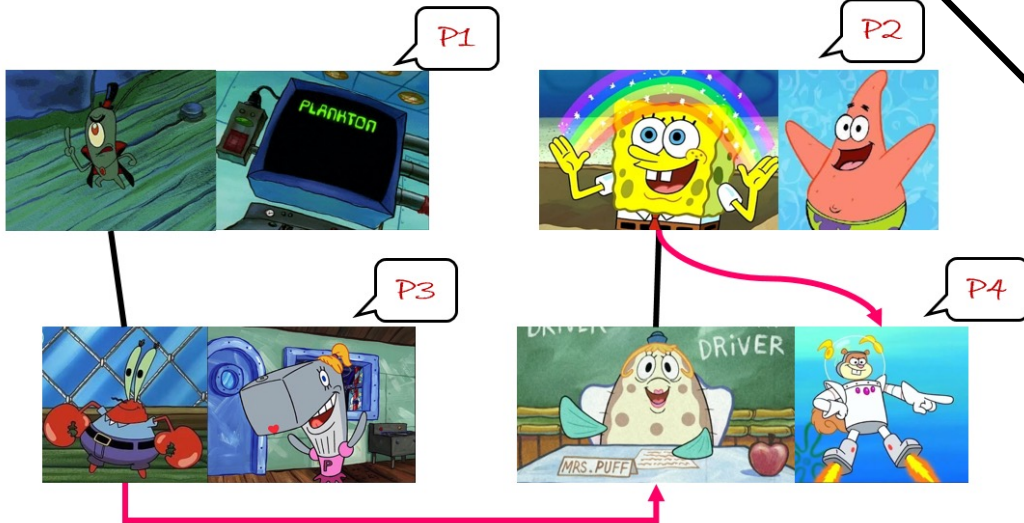
$$\neg(P1 \wedge P3) \wedge$$
$$\neg(P2 \wedge P4) \wedge$$
$$(\neg P4 \rightarrow P2) \wedge$$
$$(P4 \rightarrow P3)$$

Determine if it is a WFF

$$\neg(P1 \wedge P3) \wedge \\ \neg(P2 \wedge P4) \wedge \\ (\neg P4 \rightarrow P2) \wedge \\ (P4 \rightarrow P3)$$

Formalize the problem

If Mrs. Puff attends, Krabs must attend.



$$\neg(P1 \wedge P3) \wedge \\ \neg(P2 \wedge P4) \wedge \\ (\neg P4 \rightarrow P2) \wedge \\ (P4 \rightarrow P3)$$

Convert it to CNF

$$\begin{aligned}& \neg(P1 \wedge P3) \wedge \neg(P2 \wedge P4) \wedge (\neg P4 \rightarrow P2) \wedge (P4 \rightarrow P3) \\& = (\neg P1 \vee \neg P3) \wedge (\neg P2 \vee \neg P4) \wedge (\neg\neg P4 \vee P2) \wedge (\neg P4 \vee P3) \\& = (\neg P1 \vee \neg P3) \wedge (\neg P2 \vee \neg P4) \wedge (P4 \vee P2) \wedge (\neg P4 \vee P3)\end{aligned}$$

Solve it with DPLL

$$\emptyset \parallel \bar{1} \vee \bar{3}, \bar{2} \vee \bar{4}, 2 \vee 4, 3 \vee \bar{4} \implies (PureLiteral)$$

$$\bar{1} \parallel \bar{1} \vee \bar{3}, \bar{2} \vee \bar{4}, 2 \vee 4, 3 \vee \bar{4} \implies (Decide)$$

$$\bar{1} \ 3^d \parallel \bar{1} \vee \bar{3}, \bar{2} \vee \bar{4}, 2 \vee 4, 3 \vee \bar{4} \implies (Decide)$$

$$\bar{1} \ 3^d \ 2^d \parallel \bar{1} \vee \bar{3}, \bar{2} \vee \bar{4}, 2 \vee 4, 3 \vee \bar{4} \implies (UnitProp)$$

$$\bar{1} \ 3^d \ 2^d \ \bar{4} \parallel \bar{1} \vee \bar{3}, \bar{2} \vee \bar{4}, 2 \vee 4, 3 \vee \bar{4}$$

Recall

Can switch variables help
reduce the time of solving
a formula?



Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

Introduce Z_1 to transform
the first 2 clauses

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$\boxed{((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2)))} \vee (C_1 \wedge C_2)$$
$$= ((\neg Z_1 \vee (A_1 \wedge A_2)) \wedge (Z_1 \vee (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

Eliminate implications

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

$$= ((\neg Z_1 \vee (A_1 \wedge A_2)) \wedge (Z_1 \vee (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

$$= ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2)) \vee (C_1 \wedge C_2)$$

Distributive Law

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

$$= ((\neg Z_1 \vee (A_1 \wedge A_2)) \wedge (Z_1 \vee (B_1 \wedge B_2))) \vee (C_1 \wedge C_2)$$

$$= ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2)) \vee (C_1 \wedge C_2)$$



$$\boxed{Z_2} \rightarrow ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2)) \wedge \boxed{\neg Z_2} \rightarrow (C_1 \wedge C_2)$$

Introduce Z_2 to convert
the rest clauses

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$\begin{aligned} & ((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2))) \vee (C_1 \wedge C_2) \\ &= ((\neg Z_1 \vee (A_1 \wedge A_2)) \wedge (Z_1 \vee (B_1 \wedge B_2))) \vee (C_1 \wedge C_2) \\ &= ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2)) \vee (C_1 \wedge C_2) \end{aligned}$$



$$\begin{aligned} & (Z_2 \rightarrow ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2))) \wedge (\neg Z_2 \rightarrow (C_1 \wedge C_2)) \\ &= (\neg Z_2 \vee ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2))) \wedge (Z_2 \vee (C_1 \wedge C_2)) \end{aligned}$$

Eliminate implications

Recall

$$(A_1 \wedge A_2) \vee (B_1 \wedge B_2) \vee (C_1 \wedge C_2)$$



$$\begin{aligned} & ((Z_1 \rightarrow (A_1 \wedge A_2)) \wedge (\neg Z_1 \rightarrow (B_1 \wedge B_2))) \vee (C_1 \wedge C_2) \\ = & ((\neg Z_1 \vee (A_1 \wedge A_2)) \wedge (Z_1 \vee (B_1 \wedge B_2))) \vee (C_1 \wedge C_2) \\ = & ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2)) \vee (C_1 \wedge C_2) \end{aligned}$$



$$\begin{aligned} & (Z_2 \rightarrow ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2))) \wedge (\neg Z_2 \rightarrow (C_1 \wedge C_2)) \\ = & (\neg Z_2 \vee ((\neg Z_1 \vee A_1) \wedge (\neg Z_1 \vee A_2) \wedge (Z_1 \vee B_1) \wedge (Z_1 \vee B_2))) \wedge (Z_2 \vee (C_1 \wedge C_2)) \\ = & (\neg Z_1 \vee \neg Z_2 \vee A_1) \wedge (\neg Z_1 \vee \neg Z_2 \vee A_2) \wedge (Z_1 \vee \neg Z_2 \vee B_1) \wedge (Z_1 \vee \neg Z_2 \vee B_2) \wedge (Z_2 \vee C_1) \wedge (Z_2 \vee C_2) \end{aligned}$$

Distributive Law

DPLL

OVERVIEW

Iteratively try to

- Guess the truth value of an undefined literal
- Infer the truth value of other variables with inference rules
- If a clause is false and there is a decision literal, backtrack and reguess a variable



The time of solving a formula depends on
#variables, #clauses and #literals in each clause

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m$$

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m$$



$$((\neg Z_1 \vee P_1) \wedge$$

$$(Z_1 \vee P_2)) \vee$$

$$P_3 \cdots \vee P_m$$

Add switch variable

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

Add switch variable

$$(((\neg Z_1 \vee \neg Z_2) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2) \vee P_2) \vee$$

$$(Z_2 \vee P_3)) \vee$$

$$P_4 \cdots \vee P_m$$

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

Add more switch
variables

$$((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge$$

$$((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge$$

...

$$((Z_{m-1}) \vee P_m)$$

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$\begin{aligned} &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{11}) \wedge \\ &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{12}) \wedge \\ &\dots \\ &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{1n_1}) \end{aligned}$$



$$\begin{aligned} &((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge \\ &((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge \\ &((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge \\ &\dots \\ &((Z_{m-1}) \vee P_m) \end{aligned}$$

Distributive Law
to extend P1

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$\begin{aligned} &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{11}) \wedge \\ &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{12}) \wedge \\ &\dots \\ &(\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{1n_1}) \end{aligned}$$



$$\begin{aligned} &(((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge \\ &((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge \\ &((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge \\ &\dots \\ &((Z_{m-1}) \vee P_m) \end{aligned}$$

n_1 clauses,
each clause has m
literals

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$(Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{21}) \wedge$$

$$(Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{22}) \wedge$$

...

$$(Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1} \vee P_{2n_2})$$



$$((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge$$

$$((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge$$

...

$$((Z_{m-1}) \vee P_m)$$

*n₂ clauses,
each clause has m
literals*

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$(Z_2 \vee \dots \neg Z_{m-1} \vee P_{31}) \wedge$$

$$(Z_2 \vee \dots \neg Z_{m-1} \vee P_{32}) \wedge$$

...

$$(Z_2 \vee \dots \neg Z_{m-1} \vee P_{3n_3})$$



$$((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge$$

$$((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge$$

...

$$((Z_{m-1}) \vee P_m)$$

n_3 clauses,
each clause has
 $m-1$ literals

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$(Z_{m-1} \vee P_{m1}) \wedge$$

$$(Z_{m-1} \vee P_{m2}) \wedge$$

...

$$(Z_{m-1} \vee P_{mn_m})$$



$$((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge$$

$$((Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge$$

...

$$((Z_{m-1}) \vee P_m)$$

nm clauses,
each clause has 2
literals

CNF Generated via Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$m - 1$ new variables,
 $n_1 + n_2 + \cdots + n_m$ clauses,
 $m, m, m - 1, \dots, 2$ literals in each clause.

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

Given a DNF, we can directly output the CNF in this form.

$$\begin{aligned} & ((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge \\ & ((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge \\ & ((\neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge \\ & \dots \\ & ((Z_{m-1}) \vee P_m) \end{aligned}$$

CNF Generated without Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

CNF Generated without Switch Variables

$$P_1 = \boxed{P_{11}} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = \boxed{P_{21}} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge \boxed{P_{m2}} \cdots \wedge P_{mn_m}$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge$$

$$\boxed{(P_{11} \vee P_{21} \cdots \vee P_{m2}) \wedge}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

CNF Generated without Switch Variables

$$P_1 = \boxed{P_{11}} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = \boxed{P_{21}} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge \boxed{P_{mn_m}}$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m2}) \wedge$$

...

$$P_1 \vee P_2 \cdots \vee P_m \quad \Rightarrow$$

$$\boxed{(P_{11} \vee P_{21} \cdots \vee P_{mn_m}) \wedge}$$

CNF Generated without Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m2}) \wedge$$

...

$$(P_{11} \vee P_{21} \cdots \vee P_{mn_m}) \wedge$$

...

$$(P_{1n_1} \vee P_{2n_2} \cdots \vee P_{mn_m})$$

CNF Generated without Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m \quad \rightarrow$$

Given a DNF, we can also directly output the CNF in this form.

No new variables,
 $n_1 n_2 \dots n_m$ clauses,
 m literals in each clause

$$\begin{aligned} & (P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge \\ & (P_{11} \vee P_{21} \cdots \vee P_{m2}) \wedge \\ & \dots \\ & (P_{11} \vee P_{21} \cdots \vee P_{mn_m}) \wedge \\ & \dots \\ & (P_{1n_1} \vee P_{2n_2} \cdots \vee P_{mn_m}) \end{aligned}$$

Switch Variables

$$P_1 = P_{11} \wedge P_{12} \cdots \wedge P_{1n_1}$$

$$P_2 = P_{21} \wedge P_{22} \cdots \wedge P_{2n_2}$$

...

$$P_m = P_{m1} \wedge P_{m2} \cdots \wedge P_{mn_m}$$

$$P_1 \vee P_2 \cdots \vee P_m$$

$m - 1$ new variables,
 $n_1 + n_2 + \cdots + n_m$ clauses,
 $m, m, m - 1, \dots, 2$ literals in each clause.

$$((\neg Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_1) \wedge$$

$$((Z_1 \vee \neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_2) \wedge$$

$$((\neg Z_2 \vee \dots \neg Z_{m-1}) \vee P_3) \wedge$$

...

$$((Z_{m-1}) \vee P_m)$$

No new variables,
 $n_1 n_2 \dots n_m$ clauses,
 m literals in each clause

$$(P_{11} \vee P_{21} \cdots \vee P_{m1}) \wedge$$

$$(P_{11} \vee P_{21} \cdots \vee P_{m2}) \wedge$$

...

$$(P_{11} \vee P_{21} \cdots \vee P_{mn_m}) \wedge$$

Switch variables do not guarantee to reduce time of solving since they may reduce #clauses and #literals, but increase #variables



SAT Solver

Since different transformation algorithms have different advantages, SAT Solvers use multiple algorithms to generate CNF, e.g., Tseitin transformation



Program Analysis with SAT solvers



truth table



propositional equivalence



SAT



program analysis

Program Analysis with SAT solvers

What?

- SAT-based approach to program analysis

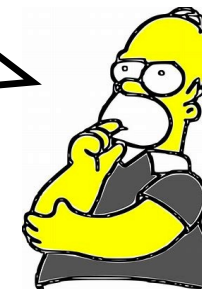
How?

- Program constructs → propositional logic constraints
- Inference → SAT solving

Why SAT?

- Program states naturally expressed as bits
- The theory for bits is SAT
- Efficient SAT solvers available

Let's focus on a simple case firstly,
which has only bool variables.

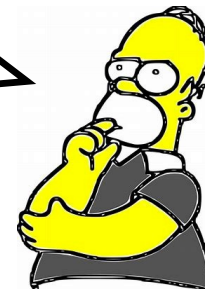


Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

We want to prove that the program really swaps the value of a and b.

How to convert the program construct to a propositional logic formula?

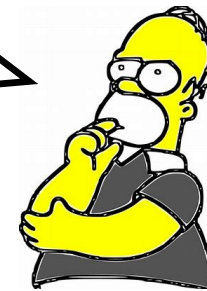


Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

We want to prove that the program really swaps the value of a and b.

Firstly we should represent the value of a and b in propositional logic.

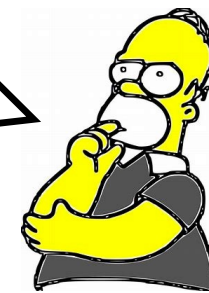


Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

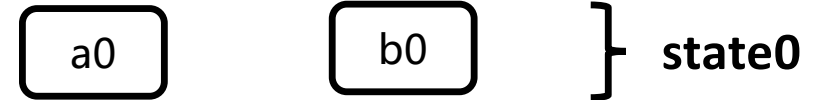
We want to prove that the program really swaps the value of a and b.

In computer, a bool variable has two values. A bool variable can be naturally represented by a propositional variable.



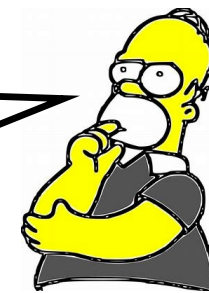
Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



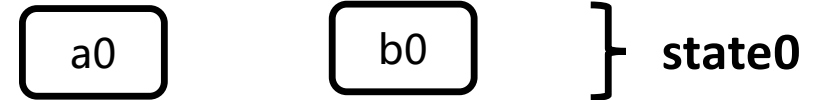
The truth values of 2
propositional variables
construct the program state.

How to represent operators
in the program?



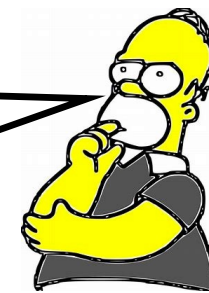
Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



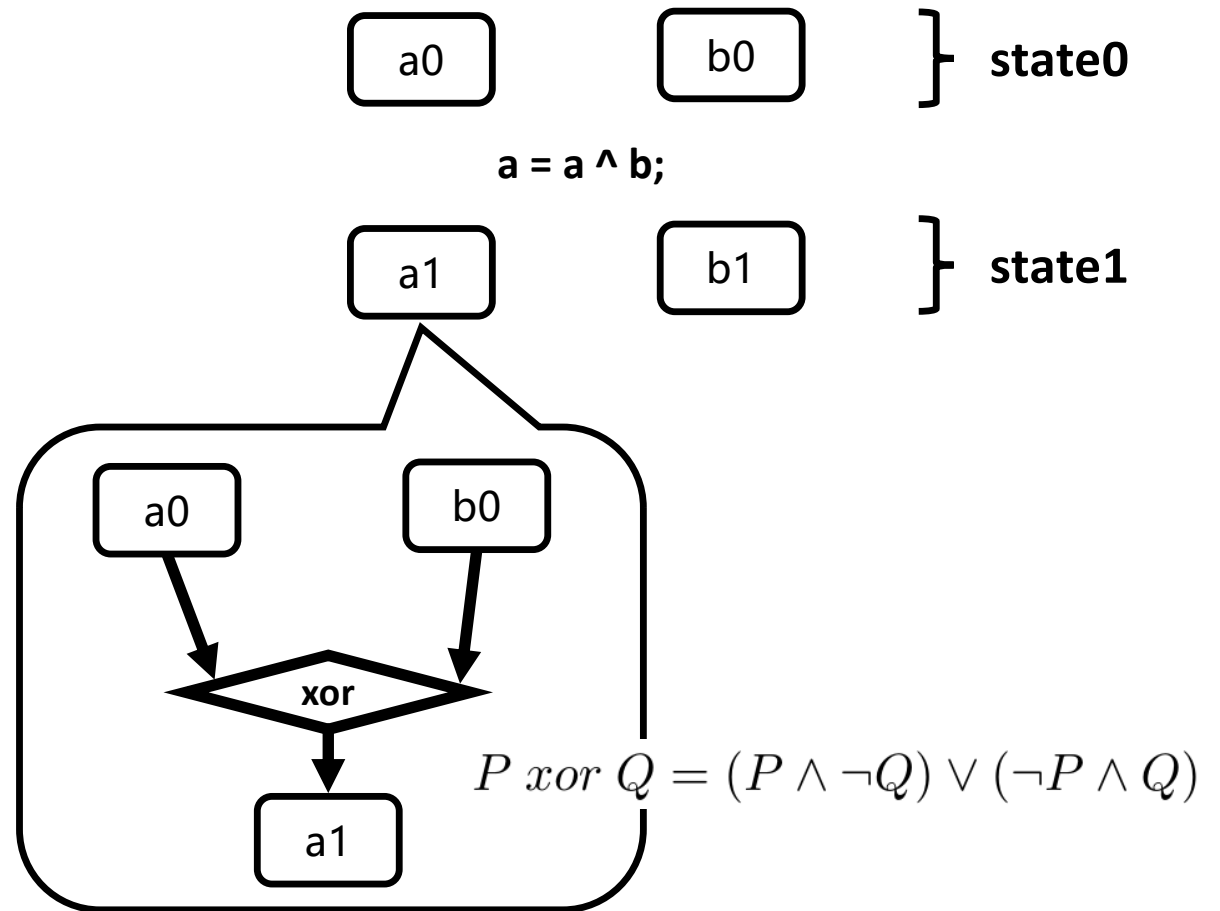
The truth values of 2
propositional variables
construct the program state.

Operators can be naturally
represented by connectives.



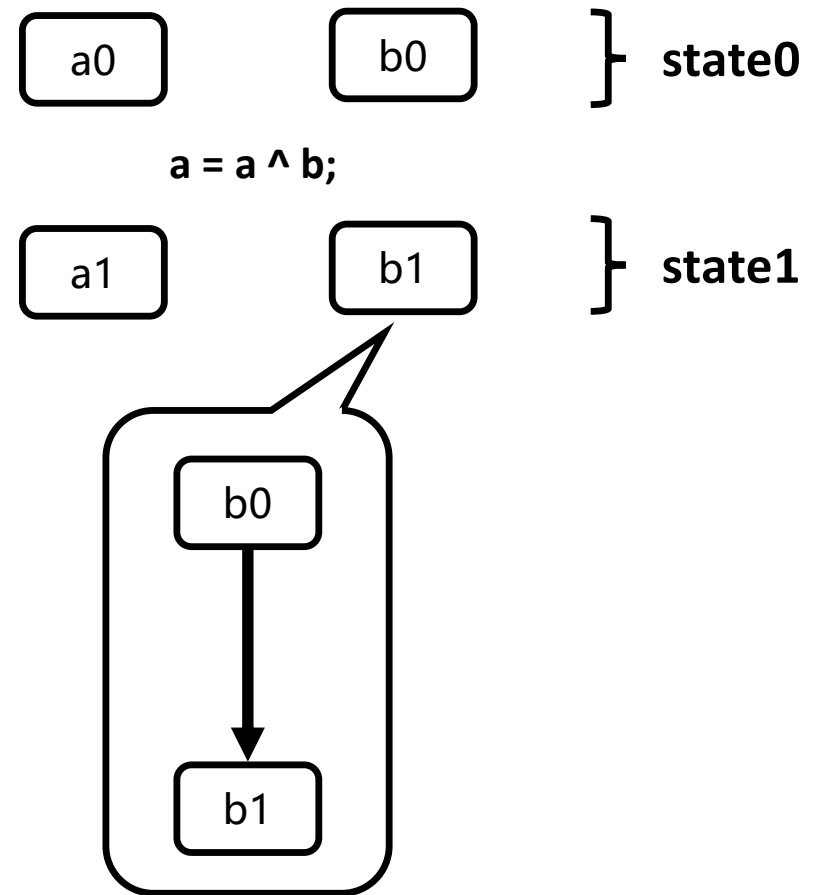
Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



Straight-Line Code

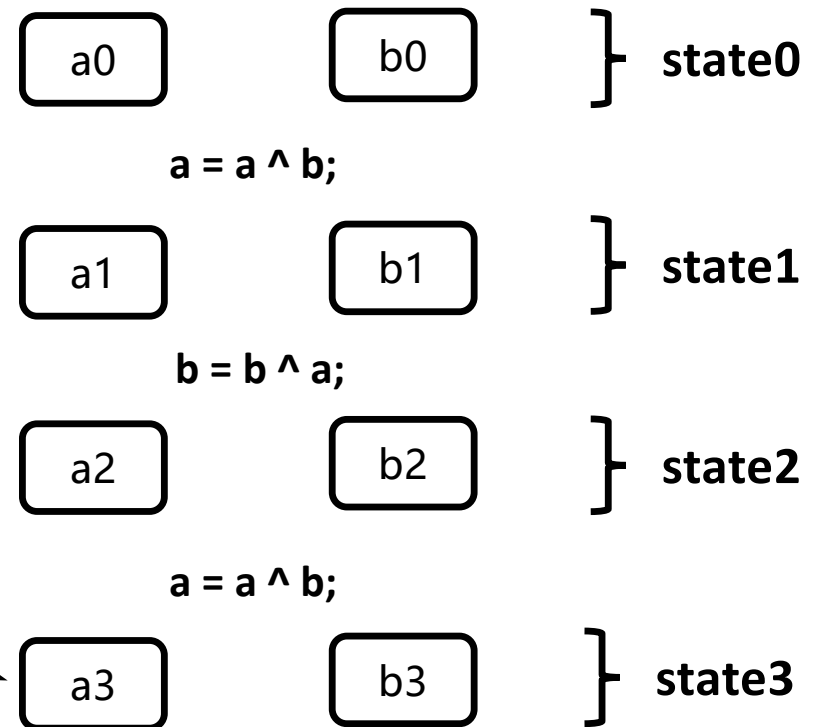
```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



Straight-Line Code

```
void swap(bool& a, bool& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

Final a and b can be represented by formulas composed of a and b in previous states.



Straight-Line Code

a0 b0 }

state0

$a = a \wedge b;$

a1 b1 }

state1

$b = b \wedge a;$

a2 b2 }

state2

$a = a \wedge b;$

a3 b3 }

state3

$$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge \\ (B1 \leftrightarrow B0) \wedge$$

Straight-Line Code

a0 b0 }

state0

$a = a \wedge b;$

a1 b1 }

state1

$b = b \wedge a;$

a2 b2 }

state2

$a = a \wedge b;$

a3 b3 }

state3

$$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$$

$$(B1 \leftrightarrow B0) \wedge$$

$$(A2 \leftrightarrow A1) \wedge$$

$$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$$

Straight-Line Code

a0 b0 }

state0

$a = a \wedge b;$

a1 b1 }

state1

$b = b \wedge a;$

a2 b2 }

state2

$a = a \wedge b;$

a3 b3 }

state3

$$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$$

$$(B1 \leftrightarrow B0) \wedge$$

$$(A2 \leftrightarrow A1) \wedge$$

$$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$$

$$(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$$

$$(B3 \leftrightarrow B2)$$

Straight-Line Code

a0 b0 } state0

$a = a \wedge b;$

a1 b1 } state1

$b = b \wedge a;$

a2 b2 } state2

$a = a \wedge b;$

a3 b3 } state3

How to prove a and b
are swapped?

$$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$$

$$(B1 \leftrightarrow B0) \wedge$$

$$(A2 \leftrightarrow A1) \wedge$$

$$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$$

$$(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$$

$$(B3 \leftrightarrow B2)$$

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$

$(B1 \leftrightarrow B0) \wedge$

$(A2 \leftrightarrow A1) \wedge$

$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$

$(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$

$(B3 \leftrightarrow B2) \rightarrow$

$(A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3)$

Program

Assertion

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$

$(B1 \leftrightarrow B0) \wedge$

$(A2 \leftrightarrow A1) \wedge$

$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$

$(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$

$(B3 \leftrightarrow B2) \rightarrow$

$(A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3)$

How to prove it is a
tautology?

Program

Assertion

Straight-Line Code

RECAP

Tautology is a proposition which is always true under any interpretation.

- 1) P is a tautology iff $\neg P$ is a contradiction
- 2) $\neg P$ is unsatisfiable iff $\neg P$ is a contradiction

tautology



UNSAT

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$
 $(B1 \leftrightarrow B0) \wedge$
 $(A2 \leftrightarrow A1) \wedge$
 $(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$
 $(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$
 $(B3 \leftrightarrow B2) \rightarrow$
 $(A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3)$

tautology



$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$
 $(B1 \leftrightarrow B0) \wedge$
 $(A2 \leftrightarrow A1) \wedge$
 $(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$
 $(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$
 $(B3 \leftrightarrow B2) \wedge$
 $\neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3))$



UNSAT

Straight-Line Code

$$\begin{aligned} & (A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge \\ & (B1 \leftrightarrow B0) \wedge \\ & (A2 \leftrightarrow A1) \wedge \\ & (B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge \\ & (A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge \\ & (B3 \leftrightarrow B2) \wedge \\ & \neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3)) \end{aligned}$$

If the formula is satisfiable, SAT solver will give an assignment, which is a counterexample.

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$
 $(B1 \leftrightarrow B0) \wedge$
 $(A2 \leftrightarrow A1) \wedge$
 $(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$
 $(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$
 $(B3 \leftrightarrow B2) \wedge$
 $\neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3))$

But now the formula is
unsatisfiable. So a and b
are swapped.

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$

$(B1 \leftrightarrow B0) \wedge$

$(A2 \leftrightarrow A1) \wedge$

$(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$

$(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$

$(B3 \leftrightarrow B2) \wedge$

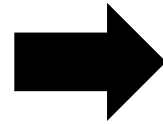
$\neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3))$

Some variables in different states are the same because the code does not change them.

We can simplify the formula by merging redundant variables.

Straight-Line Code

$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$
 $(B1 \leftrightarrow B0) \wedge$
 $(A2 \leftrightarrow A1) \wedge$
 $(B2 \leftrightarrow (A1 \wedge \neg B1) \vee (\neg A1 \wedge B1)) \wedge$
 $(A3 \leftrightarrow (A2 \wedge \neg B2) \vee (\neg A2 \wedge B2)) \wedge$
 $(B3 \leftrightarrow B2) \wedge$
 $\neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B3))$



$(A1 \leftrightarrow (A0 \wedge \neg B0) \vee (\neg A0 \wedge B0)) \wedge$
 $(B2 \leftrightarrow (A1 \wedge \neg B0) \vee (\neg A1 \wedge B0)) \wedge$
 $(A3 \leftrightarrow (A1 \wedge \neg B2) \vee (\neg A1 \wedge B2)) \wedge$
 $\neg((A3 \leftrightarrow B0) \wedge (A0 \leftrightarrow B2))$

Exercise

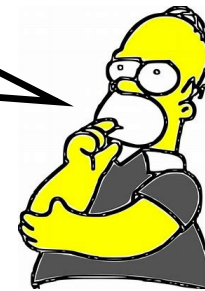
```
void f(bool x, bool y)
{
    bool z = x && y ;
    z = z || x;
    assert(z == x);
}
```

How to prove this?

$$(Z1 \leftrightarrow (X \wedge Y)) \wedge (Z2 \leftrightarrow (Z1 \vee X)) \wedge \neg(Z2 \leftrightarrow X)$$

Prove this is UNSAT

What if there are some "if"?



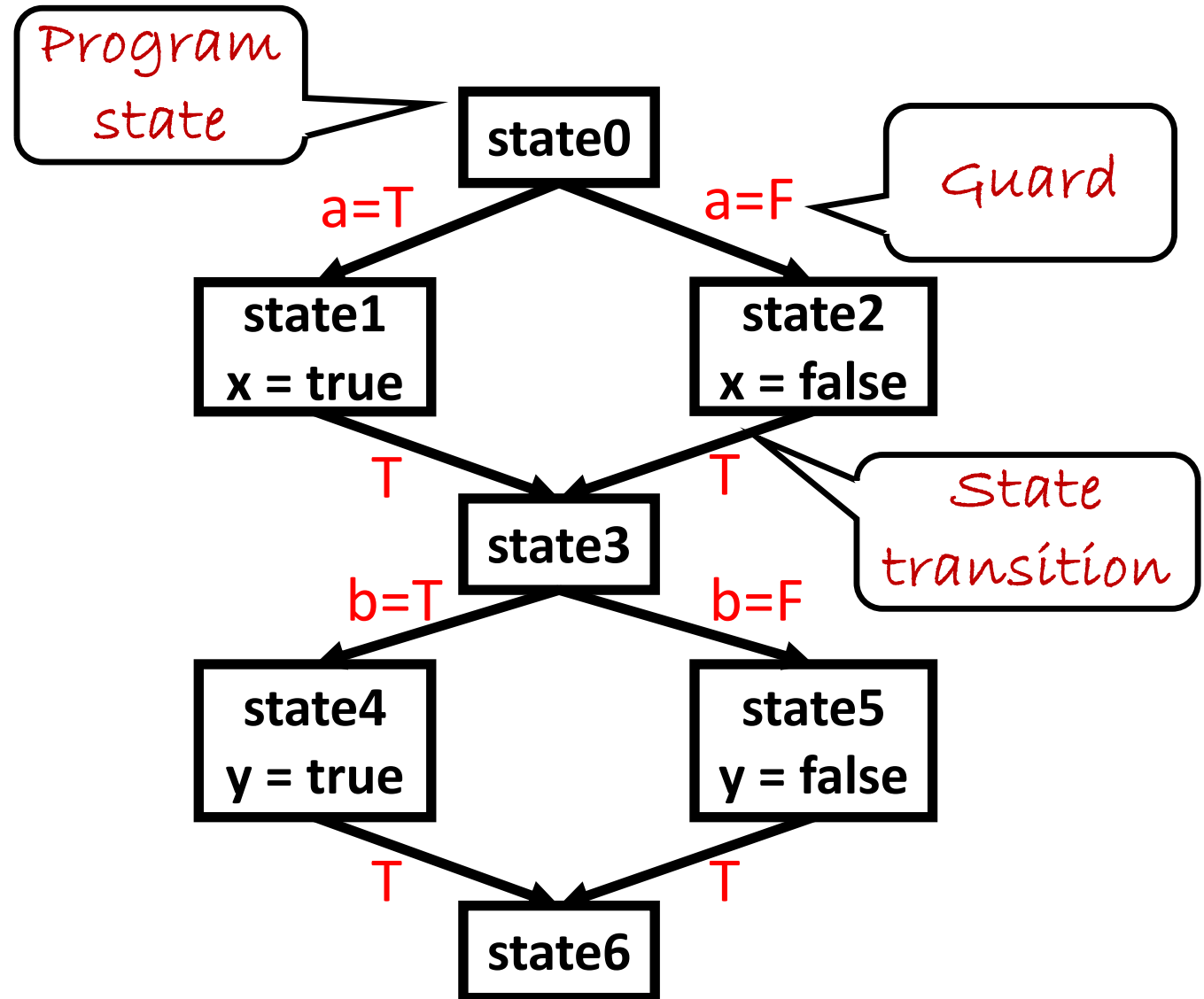
Control Flow

```
void f(bool a, bool b)
{
    bool x, y;
    if (a)
        x = true;
    else
        x = false;
    if (b)
        y = true;
    else
        y = false;
    assert(x || y);
}
```

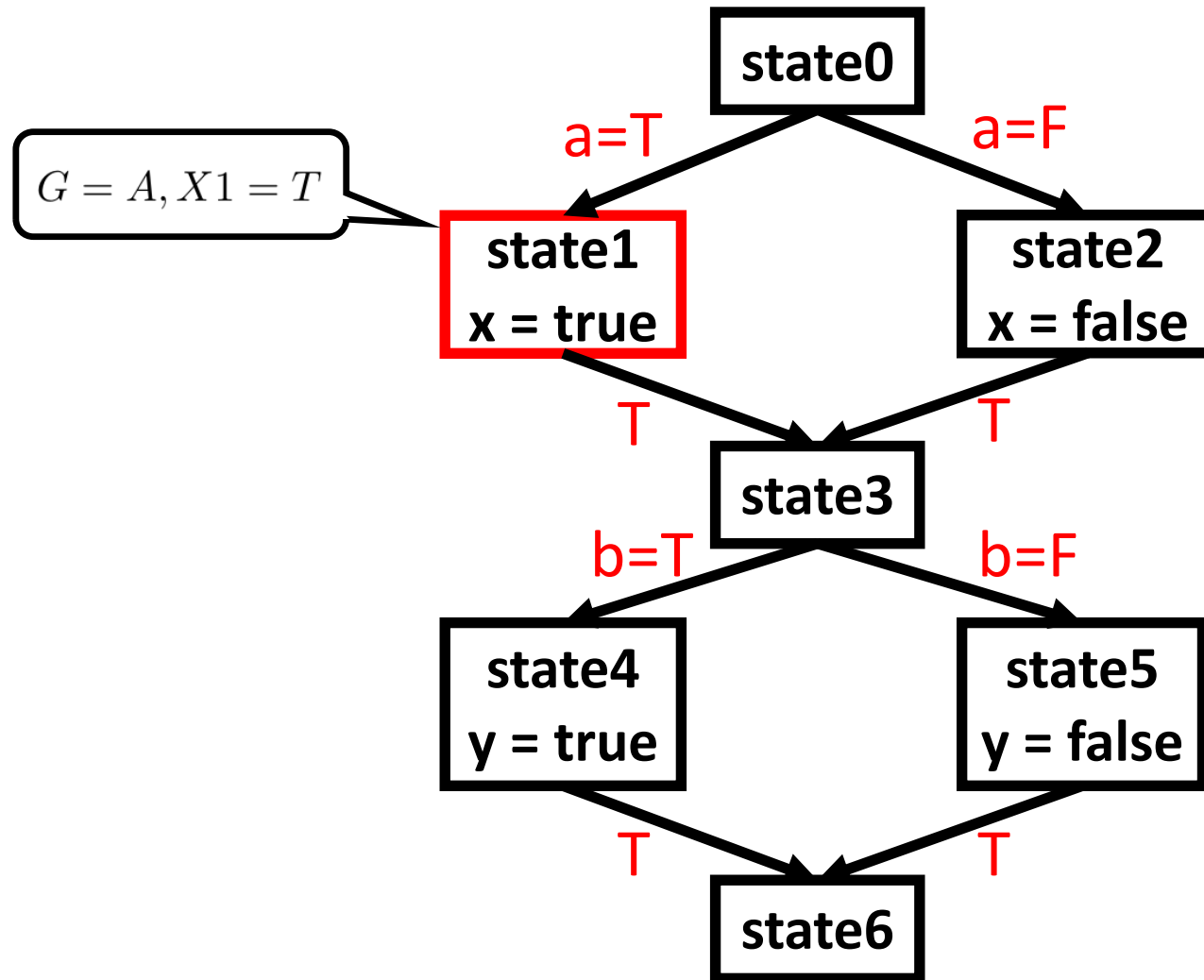
How to determine if
the assertion will
fail?

Control Flow

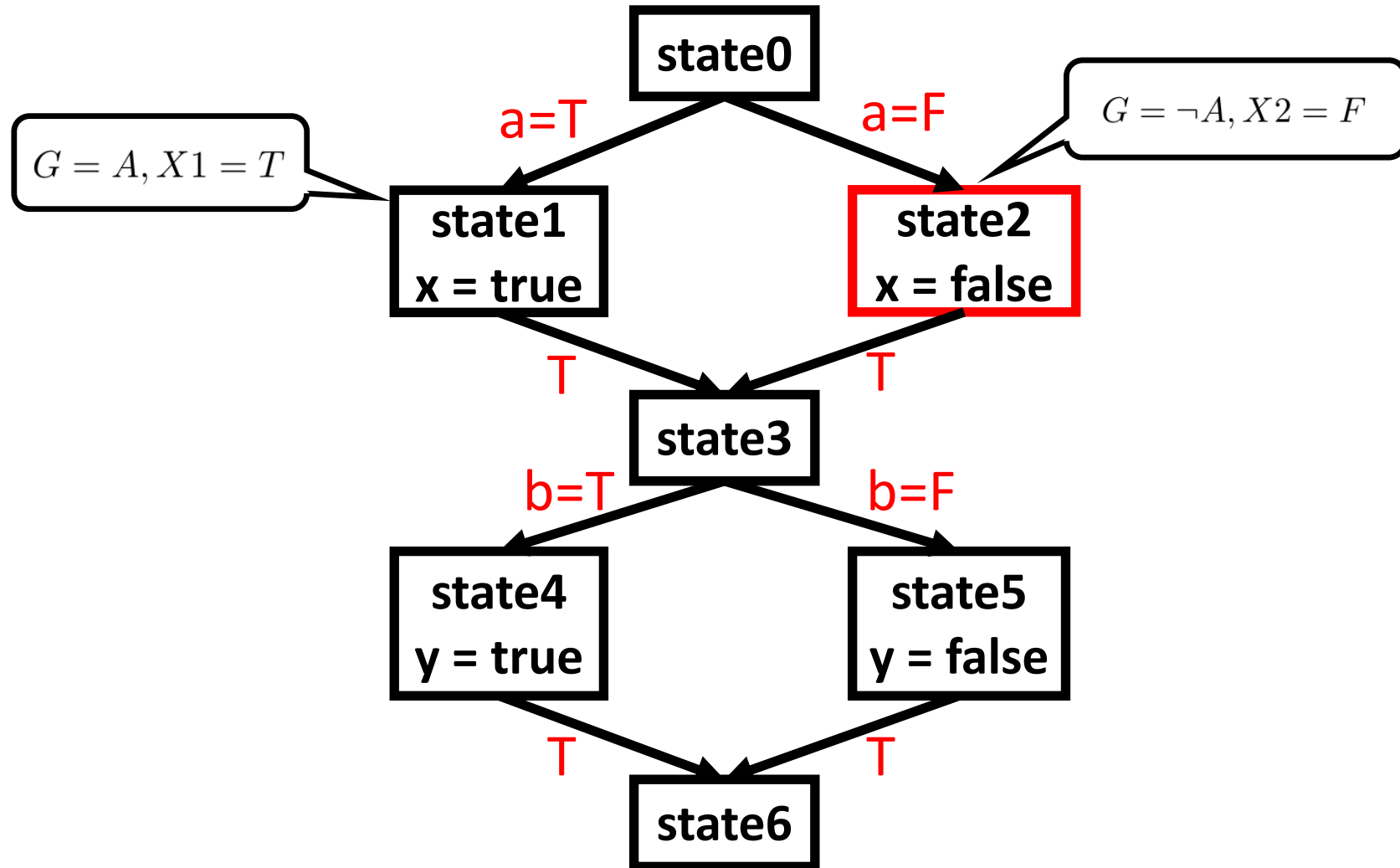
```
void f(bool a, bool b)
{
    bool x, y;
    if (a)
        x = true;
    else
        x = false;
    if (b)
        y = true;
    else
        y = false;
    assert(x || y);
}
```



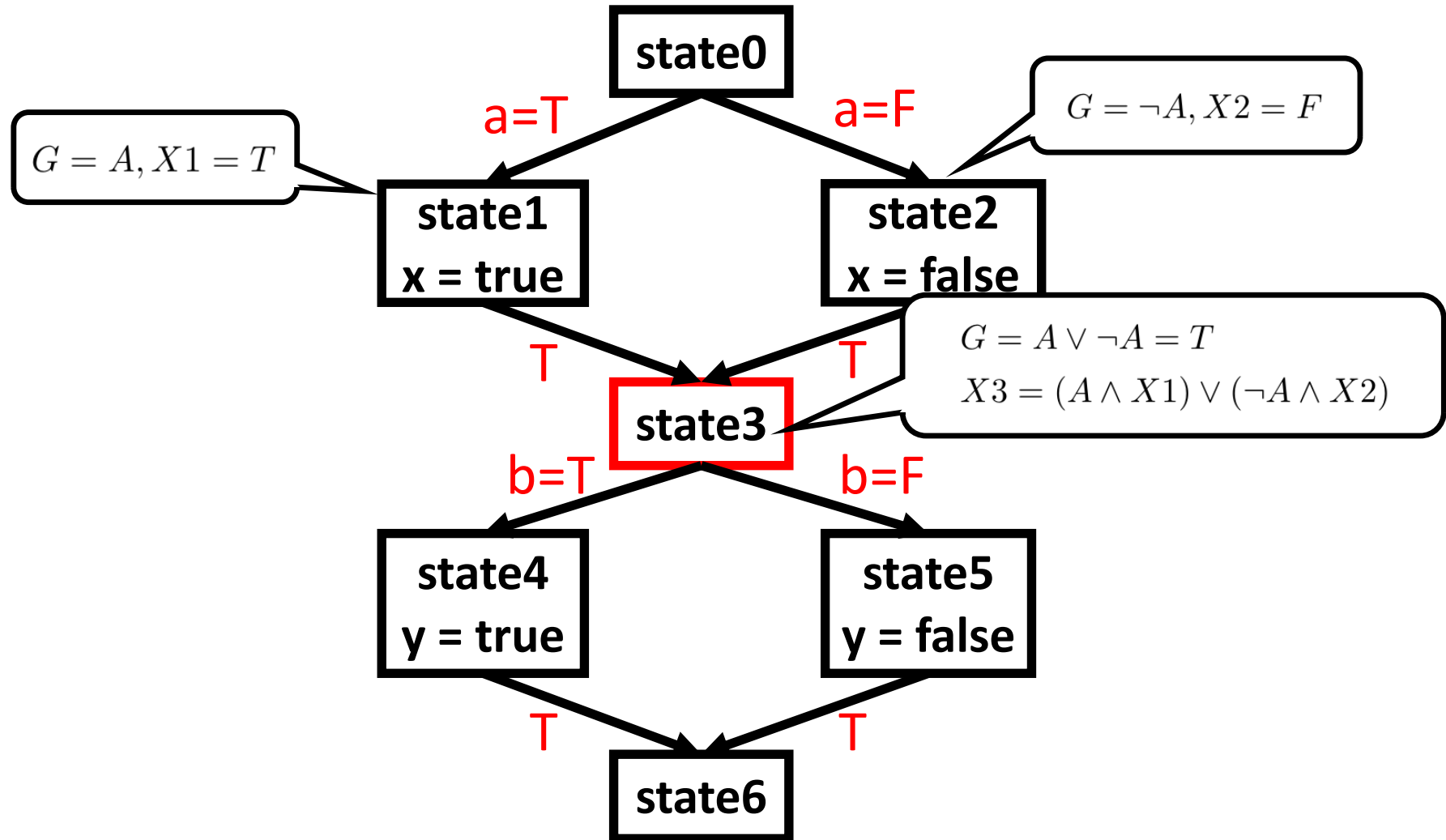
Control Flow



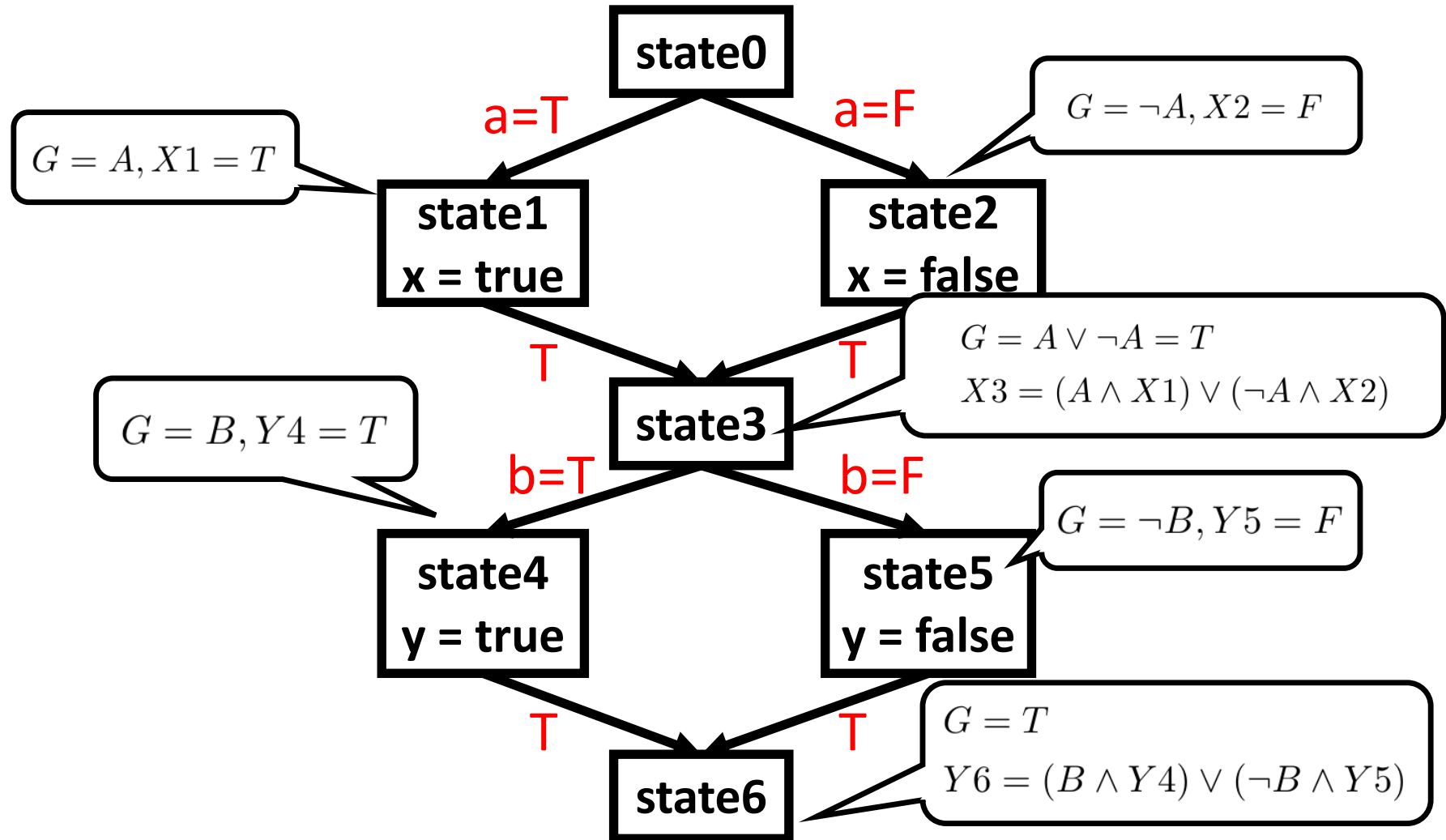
Control Flow



Control Flow



Control Flow



Control Flow

```
void f(bool a, bool b)
{
    bool x, y;
    if (a)
        x = true;
    else
        x = false;
    if (b)
        y = true;
    else
        y = false;
    assert(x || y);
}
```



This can be represented in
propositional logic

$$\begin{aligned} &X1 \wedge \neg X2 \wedge Y4 \wedge \neg Y5 \wedge \\ &(X3 \leftrightarrow ((A \wedge X1) \vee (\neg A \wedge X2))) \wedge \\ &(Y6 \leftrightarrow ((B \wedge Y4) \vee (\neg B \wedge Y5))) \\ &\rightarrow \\ &(X3 \vee Y6) \end{aligned}$$

Prove it is a tautology.

Control Flow

```
void f(bool a, bool b)
{
    bool x, y;
    if (a)
        x = true;
    else
        x = false;
    if (b)
        y = true;
    else
        y = false;
    assert(x || y);
}
```



$$\begin{aligned} &X1 \wedge \neg X2 \wedge Y4 \wedge \neg Y5 \wedge \\ &(X3 \leftrightarrow ((A \wedge X1) \vee (\neg A \wedge X2))) \wedge \\ &(Y6 \leftrightarrow ((B \wedge Y4) \vee (\neg B \wedge Y5))) \\ &\wedge \\ &\neg(X3 \vee Y6) \end{aligned}$$

Prove it is unsatisfiable

Control Flow

```
void f(bool a, bool b)
{
    bool x, y;
    if (a)
        x = true;
    else
        x = false;
    if (b)
        y = true;
    else
        y = false;
    assert(x || y);
}
```

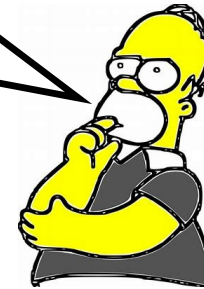


$$\begin{aligned} &X1 \wedge \neg X2 \wedge Y4 \wedge \neg Y5 \wedge \\ &(X3 \leftrightarrow ((A \wedge X1) \vee (\neg A \wedge X2))) \wedge \\ &(Y6 \leftrightarrow ((B \wedge Y4) \vee (\neg B \wedge Y5))) \\ &\wedge \\ &\neg(X3 \vee Y6) \end{aligned}$$

*A=F, B=F can satisfy it.
So the assertion will fail.*

Integer

what about integers?

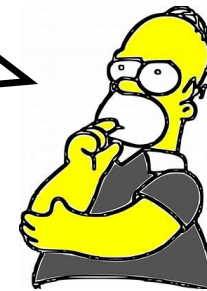


Integer

```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

We want to prove that the program really swaps the value of a and b.

Firstly we should represent the value of a and b in propositional logic.

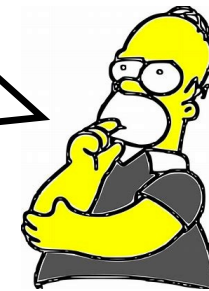


Integer

```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

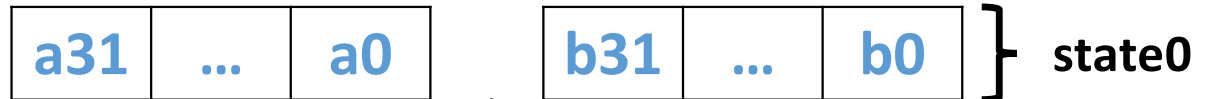
We want to prove that the program really swaps the value of a and b.

In computer, an integer is composed of 32 bits. Every bit is 1 or 0. A bit can be naturally represented by a propositional variable.



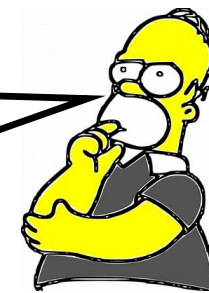
Integer

```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



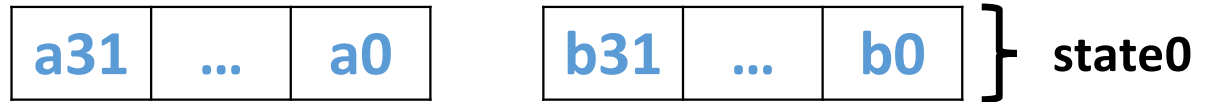
The truth values of 64 variables construct the program state.

Operators can be naturally represented by connectives.

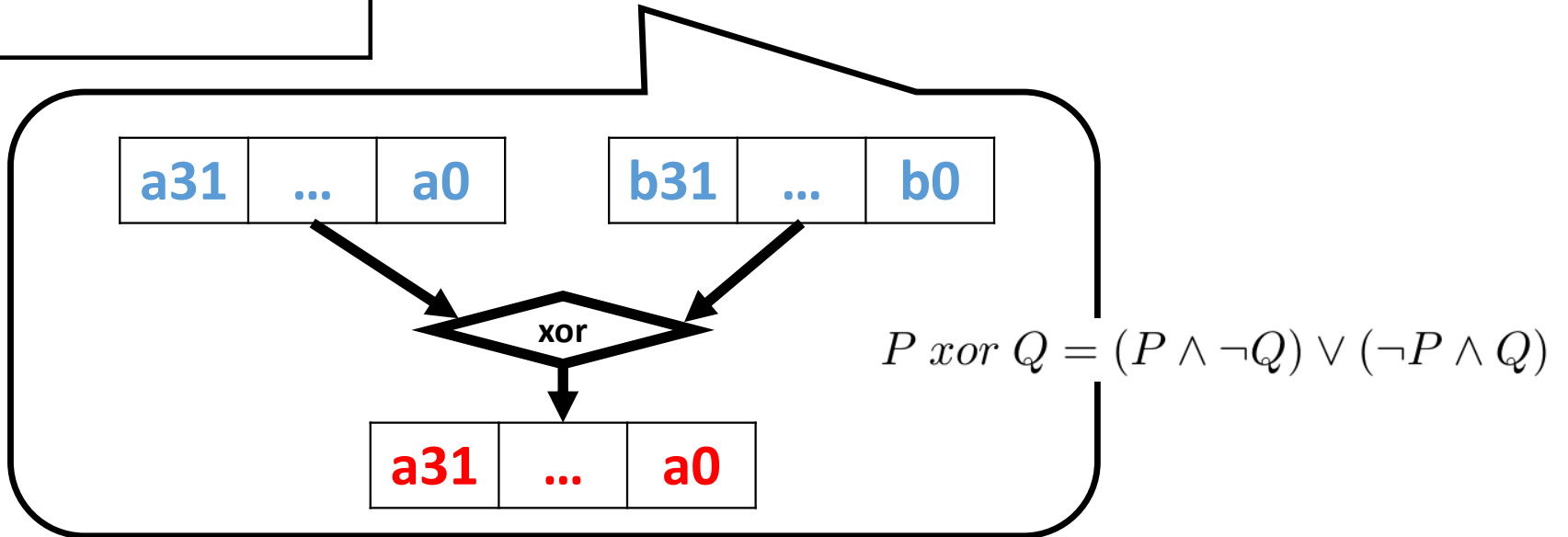
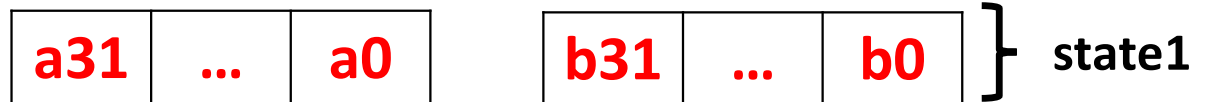


Integer

```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

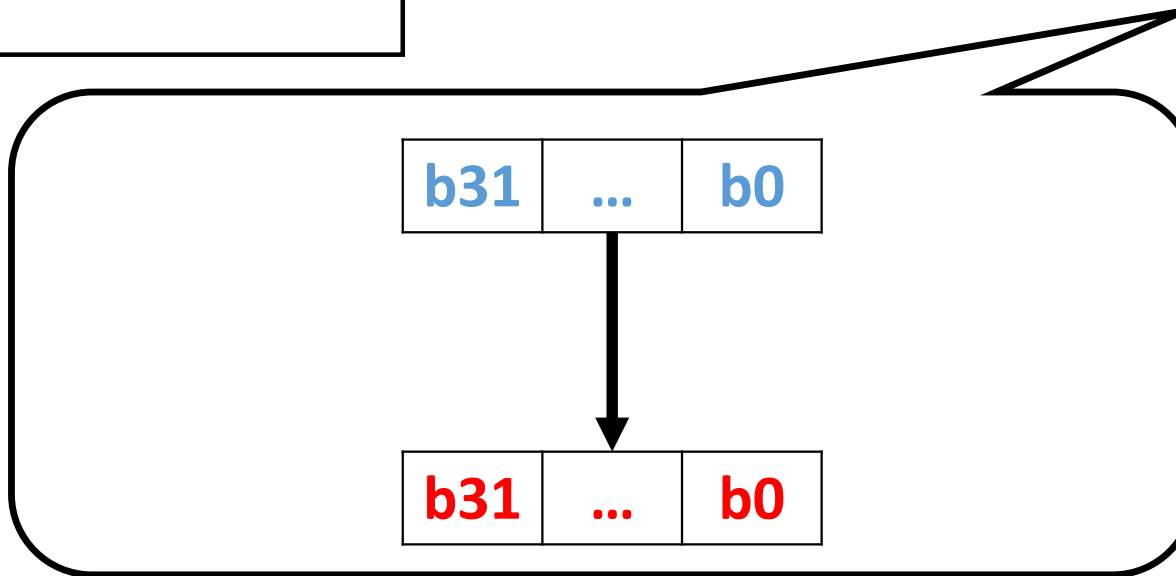
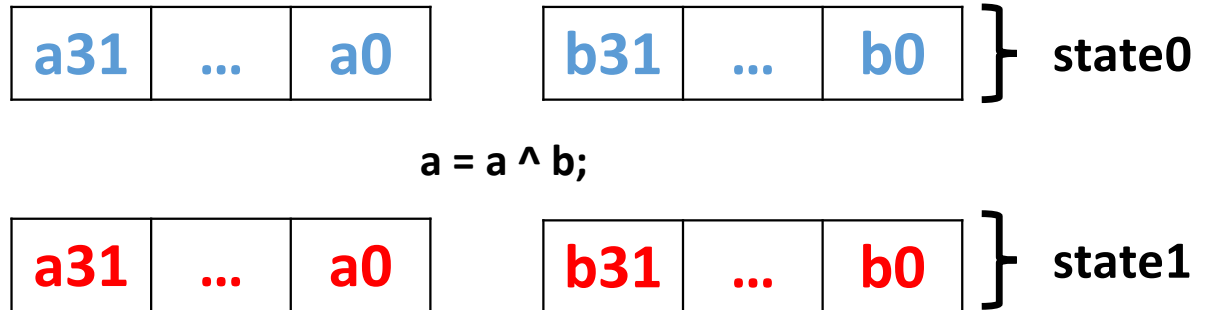


$a = a \wedge b;$



Integer

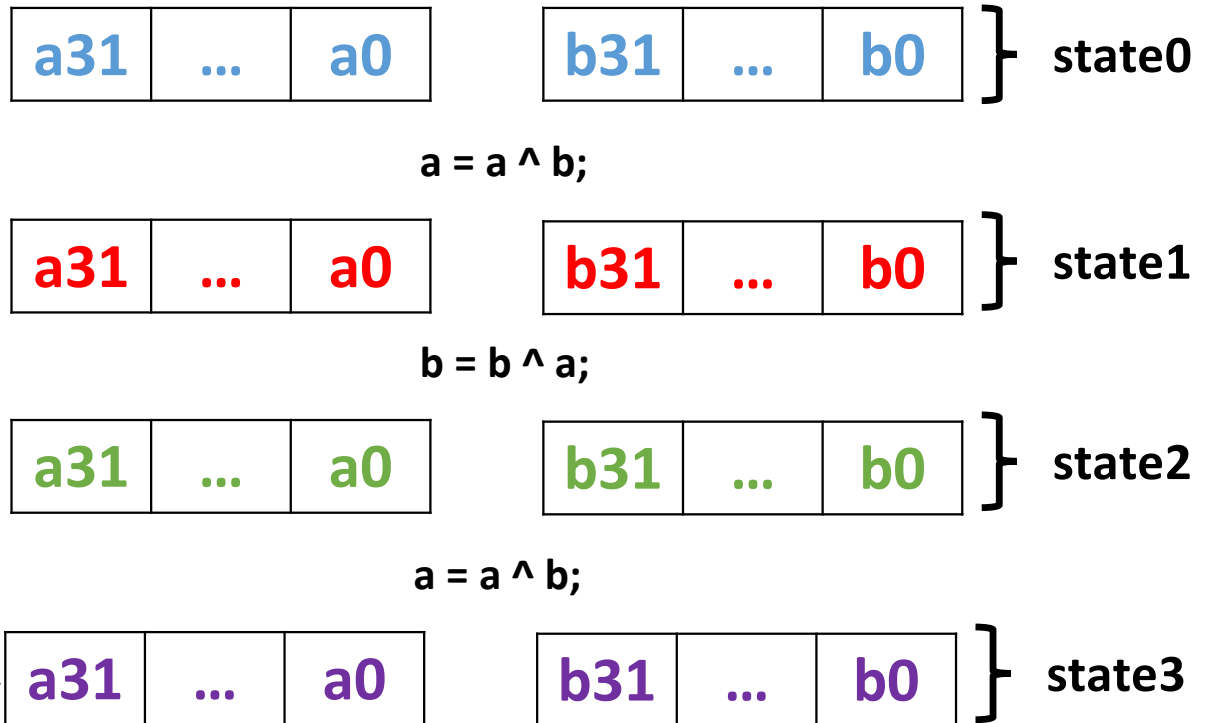
```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```



Integer

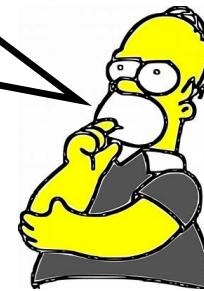
```
void swap(int& a, int& b)
{
    a = a ^ b;
    b = b ^ a;
    a = a ^ b;
}
```

Final a and b can
be represented by
formulas composed
of a and b in
previous states.



Operators

What language operators can be represented by connectives?



Operators

- Logical operators, bit operators
 - $\&$, $|$, $\wedge$, $\gg$, $!$,

What about arithmetic operators?



Operators

Arithmetic operator, such as $+$ and $-$, can be implemented with combinational circuit, which can be represented by propositional formulas.

All of operators can be represented by connectives.



Loops

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    while(i > 0)
    {
        a = a + 1;
        i = i - 1;
    }
    assert(a == 3);
}
```

What if there are some "while"?



Loops

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    while(i > 0)
    {
        a = a + 1;
        i = i - 1;
    }
    assert(a == 3);
}
```

We can unroll it for 3 times.



Loops

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    while(i > 0)
    {
        a = a + 1;
        i = i - 1;
    }
    assert(a == 3);
}
```

Loops

unroll

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    a = a + 1;
    i = i - 1;
    a = a + 1;
    i = i - 1;
    a = a + 1;
    i = i - 1;
    assert(a == 3);
}
```

Straight-Line
Code

Loops

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    while(i > 0)
    {
        a = a + 1;
        i = i - 1;
    }
    assert(a == 3);
}
```

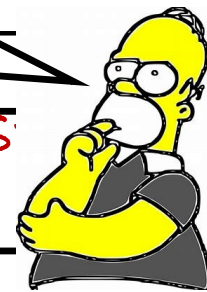
unroll

```
void f(unsigned a)
{
    unsigned i = 3;
    a = 0;
    a = a + 1;
    i = i - 1;
    a = a + 1;
    i = i - 1;
    a = a + 1;
    i = i - 1;
    assert(a == 3);
}
```

Is unrolling a master key?

Loops

S

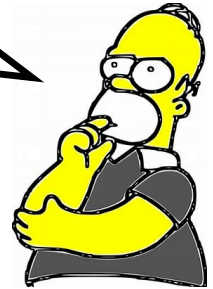


t-Line
de

Loops

```
void f(unsigned a)
{
    unsigned i = 0;
    while(i < a)
    {
        i = i + 1;
    }
}
```

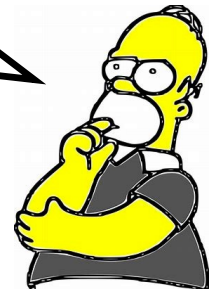
How many loops
should we unloop?



Loops

```
void f(unsigned a)
{
    unsigned i = 0;
    while(i < a)
    {
        i = i + 1;
    }
}
```

*Unrolling does not
work.*



- Unroll loops k times
- May miss errors that are deeply buried
- Simplicity

Summary

b_0

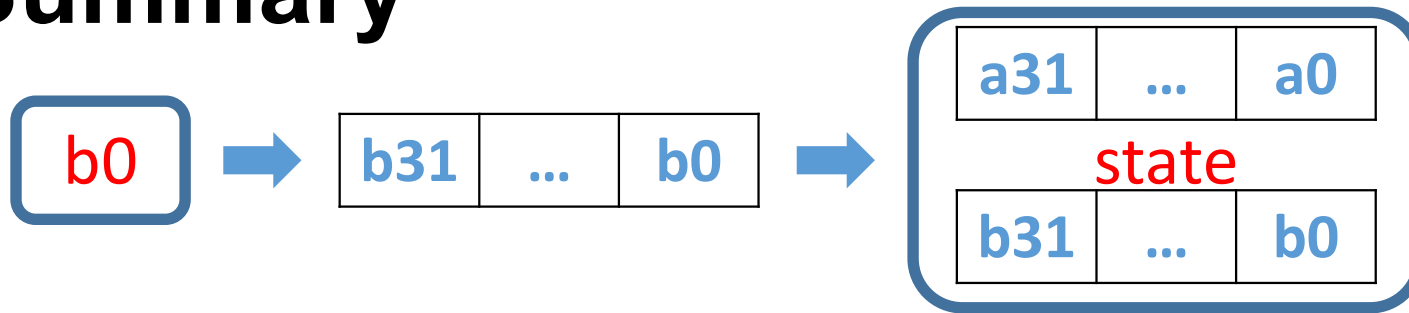
Every bit can be represented by a propositional variable.

Summary



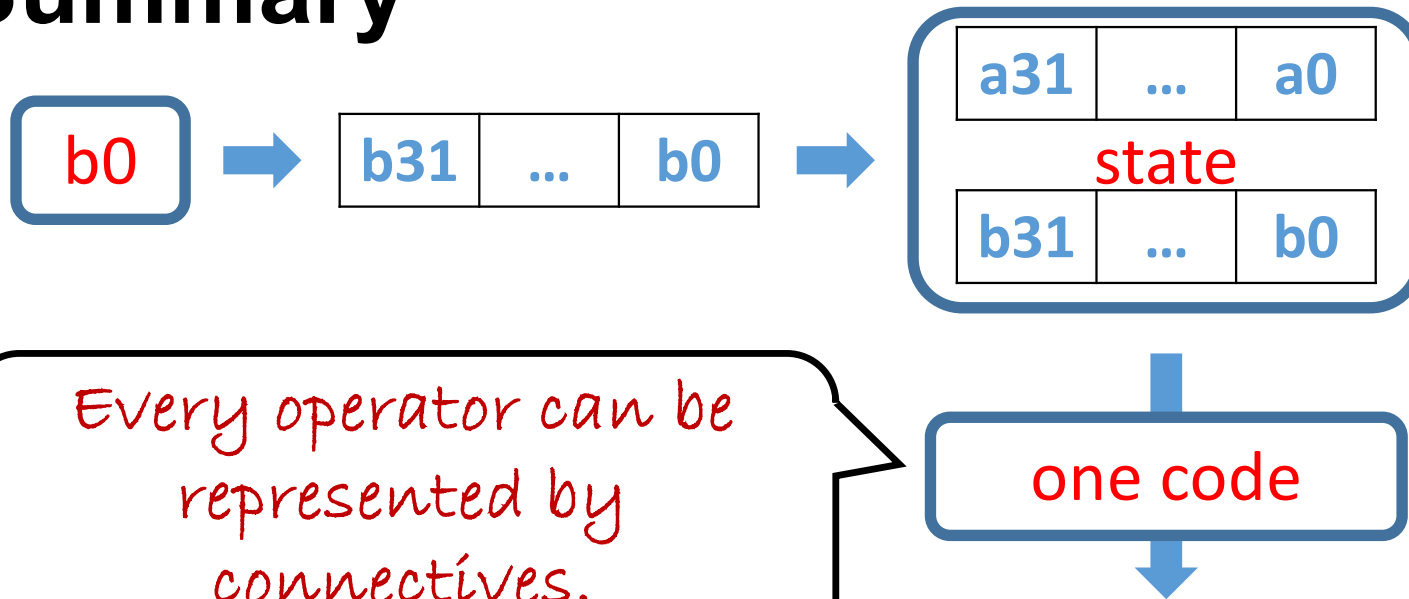
Every variables in
program can be
represented by many
bits.

Summary

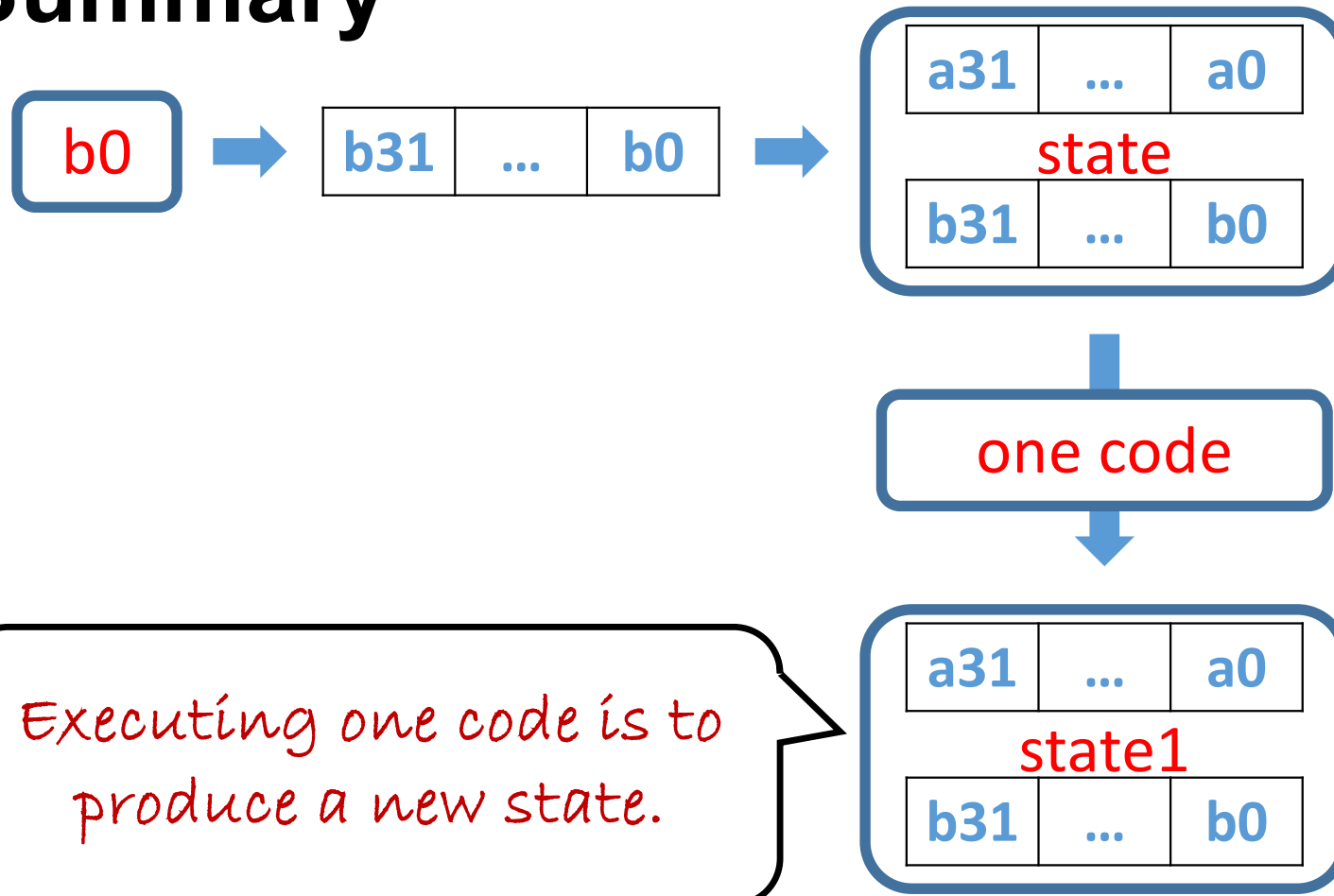


Program state can be represented by values of many variables.

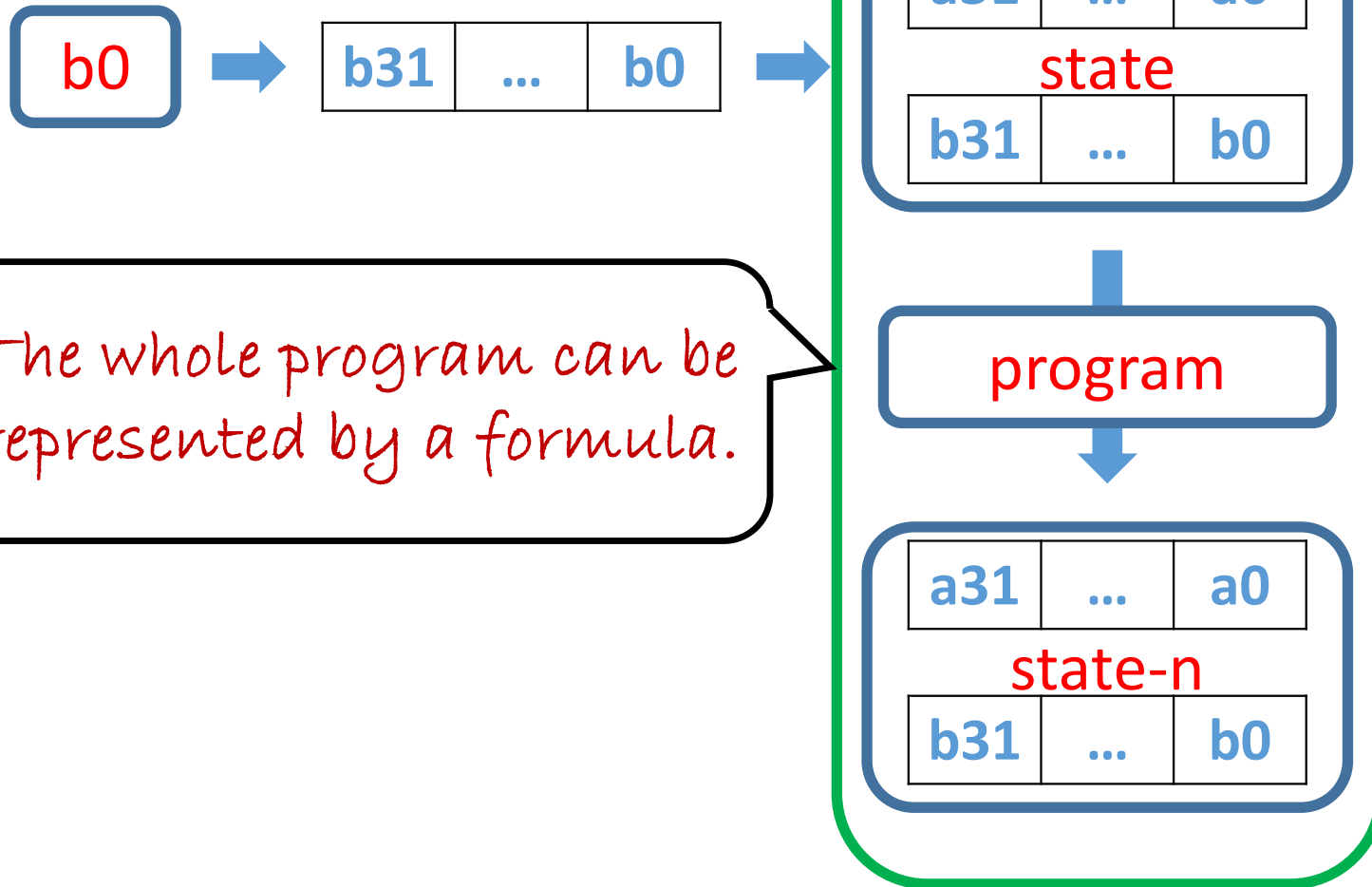
Summary



Summary



Summary



Summary

b0

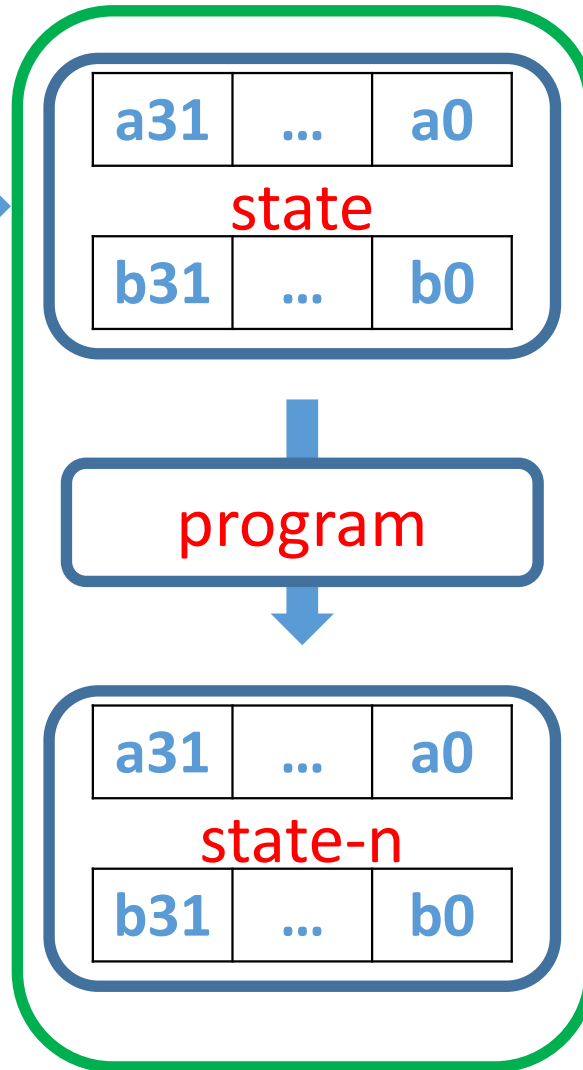


b31	...	b0
-----	-----	----

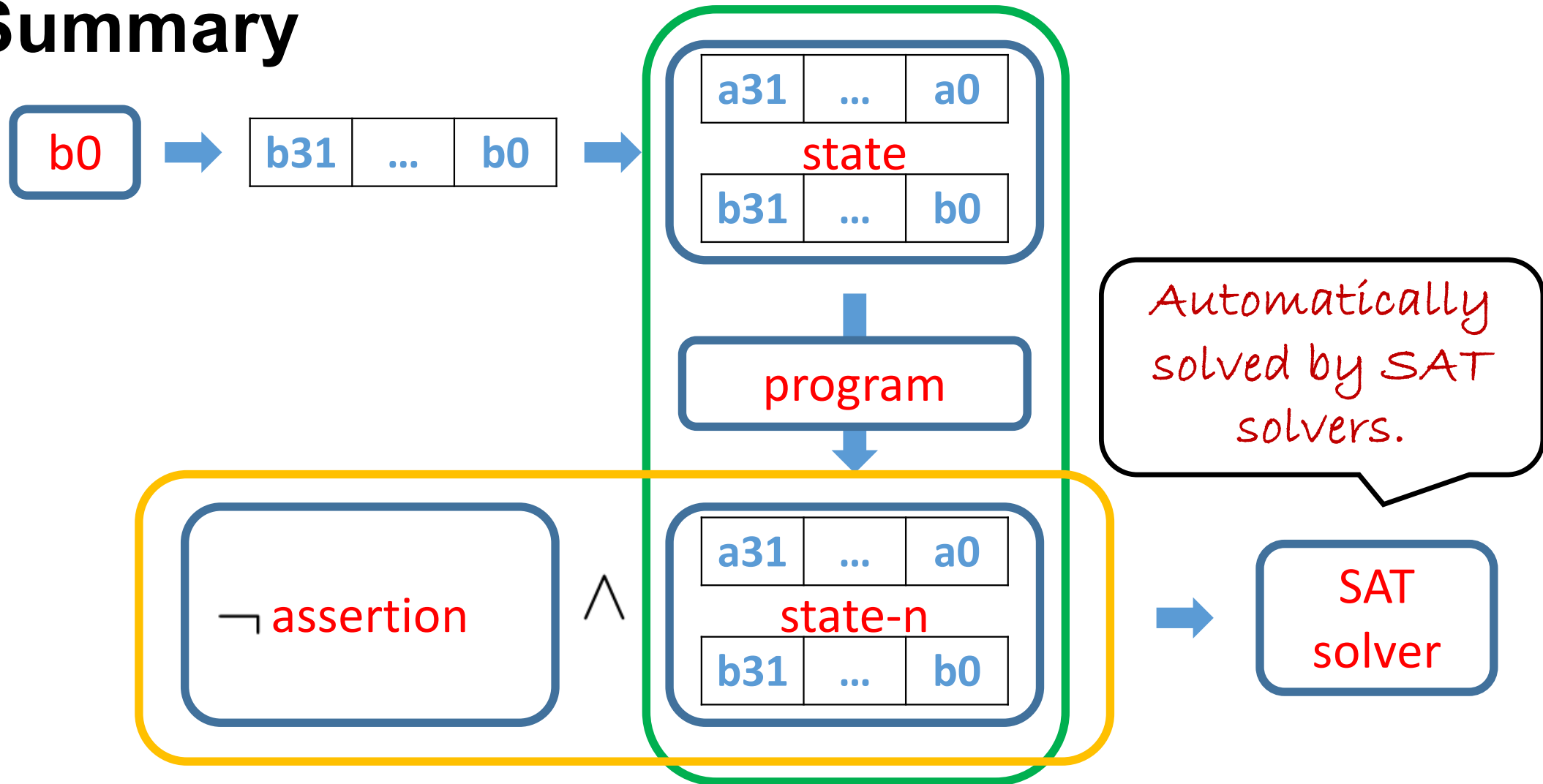


Assertion can also be represented by a formula.

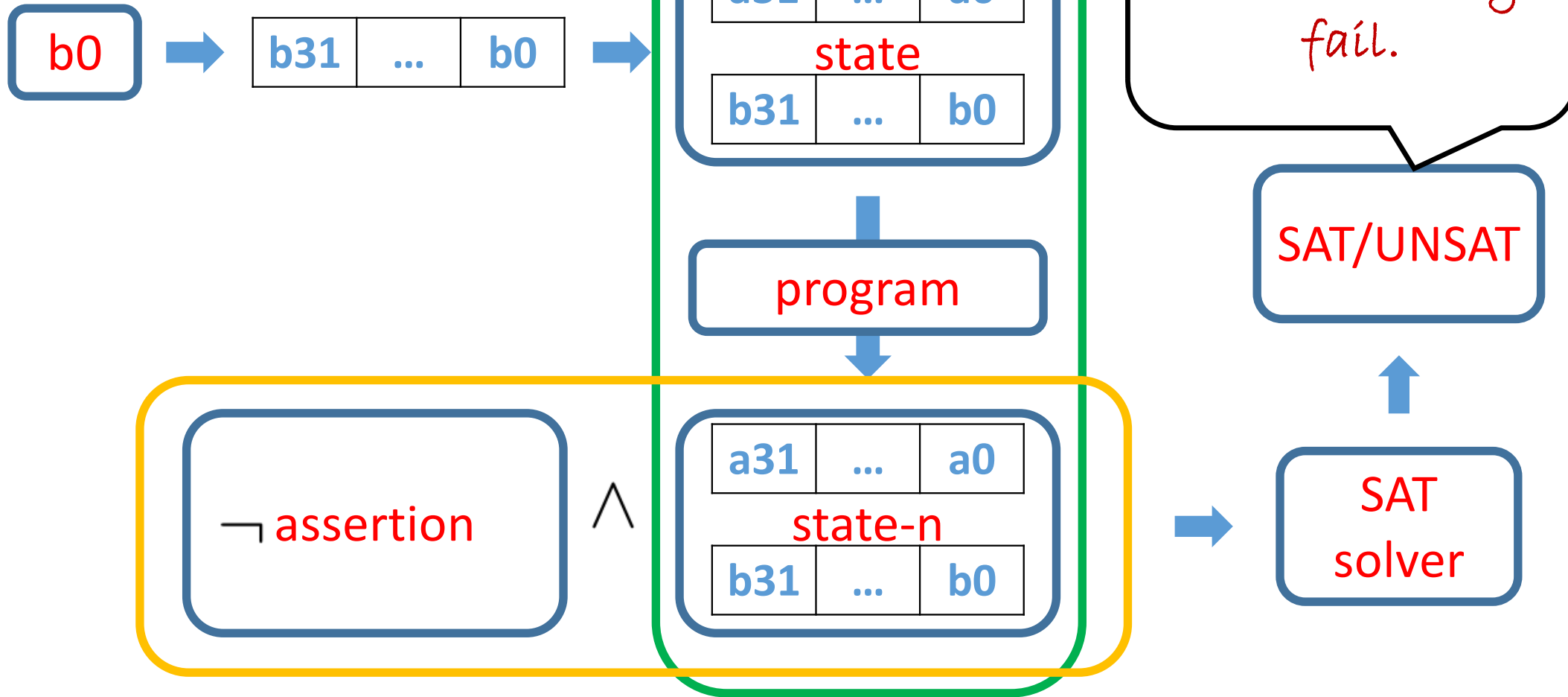
assertion



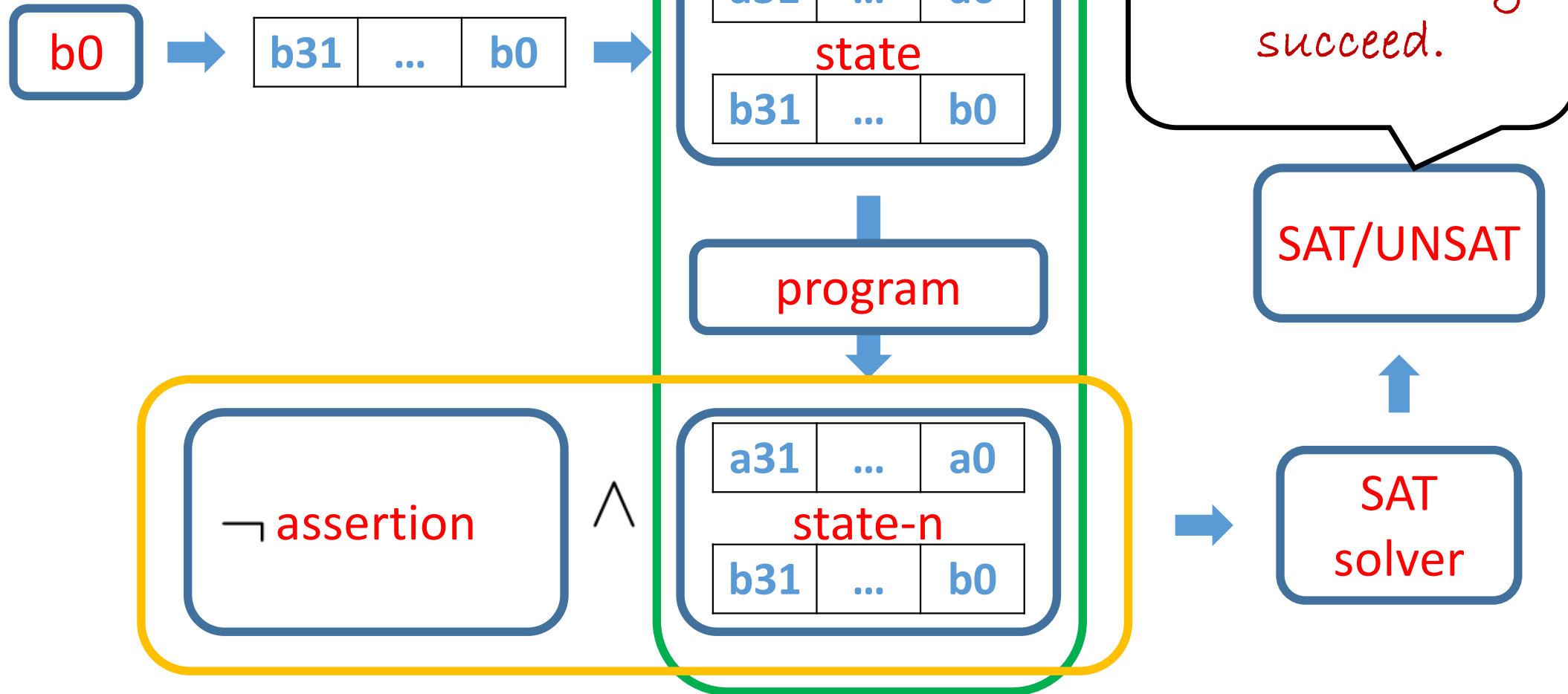
Summary



Summary



Summary



Thanks & Questions